# Package: innsight (via r-universe)

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Type Package

Title Get the Insights of Your Neural Network

Version 0.3.1

Description Interpretation methods for analyzing the behavior and individual predictions of modern neural networks in a three-step procedure: Converting the model, running the interpretation method, and visualizing the results. Implemented methods are, e.g., 'Connection Weights' described by Olden et al. (2004) <doi:10.1016/j.ecolmodel.2004.03.013>, layer-wise relevance propagation ('LRP') described by Bach et al. (2015) <doi:10.1371/journal.pone.0130140>, deep learning important features ('DeepLIFT') described by Shrikumar et al. (2017) <doi:10.48550/arXiv.1704.02685> and gradient-based methods like 'SmoothGrad' described by Smilkov et al. (2017) <doi:10.48550/arXiv.1706.03825>, 'Gradient x Input' or 'Vanilla Gradient'. Details can be found in the accompanying scientific paper: Koenen & Wright (2024, Journal of Statistical Software, <doi:10.18637/jss.v111.i08>).

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https://github.com/bips-hb/innsight/

BugReports https://github.com/bips-hb/innsight/issues/

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innsight-package

#### Description

innsight is an R package that interprets the behavior and explains individual predictions of modern neural networks. Many methods for explaining individual predictions already exist, but hardly any of them are implemented or available in R. Most of these so-called *feature attribution* methods are only implemented in Python and, thus, difficult to access or use for the R community. In this sense, the package innsight provides a common interface for various methods for the interpretability of neural networks and can therefore be considered as an R analogue to 'iNNvestigate' or 'Captum' for Python.

#### Details

This package implements several model-specific interpretability (feature attribution) methods based on neural networks in R, e.g.,

- Layer-wise relevance propagation (LRP)
  - Including propagation rules:  $\epsilon$ -rule and  $\alpha$ - $\beta$ -rule
- Deep learning important features (DeepLift)
  - Including propagation rules for non-linearities: Rescale rule and RevealCancel rule
- DeepSHAP
- Gradient-based methods:
  - Vanilla Gradient, including Gradient×Input
  - Smoothed gradients (SmoothGrad), including SmoothGrad×Input
  - Integrated gradients (IntegratedGradient)
  - Expected gradients (ExpectedGradient)
- ConnectionWeights
- Model-agnostic methods:
  - Local interpretable model-agnostic explanation (LIME)
  - Shapley values (SHAP)

The package innsight aims to be as flexible as possible and independent of a specific deep learning package in which the passed network has been learned. Basically, a neural network of the libraries torch::nn\_sequential, keras::keras\_model\_sequential, keras::keras\_model and neuralnet::neuralnet can be passed to the main building block Converter, which converts and stores the passed model as a torch model (ConvertedModel) with special insights needed for interpretation. It is also possible to pass an arbitrary net in form of a named list (see details in Converter).

The scientific background and implementation details of innsight are described in the paper "Interpreting Deep Neural Networks with the Package innsight" by Koenen & Wright (2024), published in the *Journal of Statistical Software*. For a detailed explanation of the methods and use cases, please refer to the publication (doi: doi:10.18637/jss.v111.i08).

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#### References

Koenen, N., & Wright, M. N. (2024). Interpreting Deep Neural Networks with the Package innsight. Journal of Statistical Software, 111(8), 1-52. doi: doi:10.18637/jss.v111.i08

## See Also

Useful links:

- https://bips-hb.github.io/innsight/
- https://github.com/bips-hb/innsight/
- Report bugs at https://github.com/bips-hb/innsight/issues/

+, innsight\_ggplot2, ANY-method

*Generic add function for* innsight\_ggplot2

## Description

This generic add function allows to treat an instance of innsight\_ggplot2 as an ordinary plot object of ggplot2. For example geoms, themes and scales can be added as usual (see ggplot2::+.gg for more information).

**Note:** If e1 represents a multiplot (i.e., e1@mulitplot = TRUE), e2 is added to each individual plot. If only specific plots need to be changed, the generic assignment function should be used (see innsight\_ggplot2 for details).

#### Usage

```
## S4 method for signature 'innsight_ggplot2,ANY'
e1 + e2
```

## Arguments

e1	An instance of the S4 class innsight_ggplot2.
e2	An object of class ggplot2::ggplot or a ggplot2::theme.

## See Also

innsight\_ggplot2, print.innsight\_ggplot2, [.innsight\_ggplot2, [[.innsight\_ggplot2, [<-.innsight\_ggplot2, [[<-.innsight\_ggplot2</pre> AgnosticWrapper

#### Description

This is a super class for all implemented model-agnostic interpretability methods and inherits from the InterpretingMethod class. Instead of just an object of the Converter class, any model can now be passed. In contrast to the other model-specific methods in this package, only the prediction function of the model is required, and not the internal details of the model. The following modelagnostic methods are available (all are wrapped by other packages):

- Shapley values (SHAP) based on fastshap::explain
- Local interpretable model-agnostic explanations (LIME) based on lime::lime

#### Super class

innsight::InterpretingMethod -> AgnosticWrapper

### **Public fields**

data\_orig The individual instances to be explained by the method (unprocessed!).

#### Methods

### **Public methods:**

- AgnosticWrapper\$new()
- AgnosticWrapper\$clone()

**Method** new(): Create a new instance of the AgnosticWrapper R6 class.

```
Usage:
AgnosticWrapper$new(
 model,
  data,
  data_ref,
  output_type = NULL,
  pred_fun = NULL,
  output_idx = NULL,
  output_label = NULL,
  channels_first = TRUE,
  input_dim = NULL,
  input_names = NULL,
  output_names = NULL
)
```

#### model (any prediction model)

A fitted model for a classification or regression task that is intended to be interpreted. A Converter object can also be passed. In order for the package to know how to make predictions with the given model, a prediction function must also be passed with the argument pred\_fun. However, for models created by nn\_sequential, keras\_model, neuralnet or Converter, these have already been pre-implemented and do not need to be specified.

#### data (array, data.frame or torch\_tensor)

The individual instances to be explained by the method. These must have the same format as the input data of the passed model and has to be either matrix, an array, a data.frame or a torch\_tensor. If no value is specified, all instances in the dataset data will be explained.

**Note:** For the model-agnostic methods, only models with a single input and output layer is allowed!

#### data\_ref (array, data.frame or torch\_tensor)

The dataset to which the method is to be applied. These must have the same format as the input data of the passed model and has to be either matrix, an array, a data.frame or a torch\_tensor.

**Note:** For the model-agnostic methods, only models with a single input and output layer is allowed!

#### output\_type (character(1))

Type of the model output, i.e., either "classification" or "regression".

#### pred\_fun (function)

Prediction function for the model. This argument is only needed if model is not a model created by nn\_sequential, keras\_model, neuralnet or Converter. The first argument of pred\_fun has to be newdata, e.g.,

```
function(newdata, ...) model(newdata)
```

## output\_idx (integer, list or NULL)

These indices specify the output nodes for which the method is to be applied. In order to allow models with multiple output layers, there are the following possibilities to select the indices of the output nodes in the individual output layers:

- An integer vector of indices: If the model has only one output layer, the values correspond to the indices of the output nodes, e.g., c(1,3,4) for the first, third and fourth output node. If there are multiple output layers, the indices of the output nodes from the first output layer are considered.
- A list of integer vectors of indices: If the method is to be applied to output nodes from different layers, a list can be passed that specifies the desired indices of the output nodes for each output layer. Unwanted output layers have the entry NULL instead of a vector of indices, e.g., list(NULL, c(1,3)) for the first and third output node in the second output layer.
- NULL (default): The method is applied to all output nodes in the first output layer but is limited to the first ten as the calculations become more computationally expensive for more output nodes.

#### output\_label (character, factor, list or NULL)

- These values specify the output nodes for which the method is to be applied. Only values that were previously passed with the argument output\_names in the converter can be used. In order to allow models with multiple output layers, there are the following possibilities to select the names of the output nodes in the individual output layers:
- A character vector or factor of labels: If the model has only one output layer, the values correspond to the labels of the output nodes named in the passed Converter object, e.g., c("a", "c", "d") for the first, third and fourth output node if the output names are c("a", "b", "c", "d"). If there are multiple output layers, the names of the output nodes from the first output layer are considered.
- A list of charactor/factor vectors of labels: If the method is to be applied to output nodes from different layers, a list can be passed that specifies the desired labels of the output nodes for each output layer. Unwanted output layers have the entry NULL instead of a vector of labels, e.g., list(NULL, c("a", "c")) for the first and third output node in the second output layer.
- NULL (default): The method is applied to all output nodes in the first output layer but is limited to the first ten as the calculations become more computationally expensive for more output nodes.

#### channels\_first (logical(1))

The channel position of the given data (argument data). If TRUE, the channel axis is placed at the second position between the batch size and the rest of the input axes, e.g., c(10,3,32,32) for a batch of ten images with three channels and a height and width of 32 pixels. Otherwise (FALSE), the channel axis is at the last position, i.e., c(10,32,32,3). If the data has no channel axis, use the default value TRUE.

#### input\_dim (integer)

The model input dimension excluding the batch dimension. It can be specified as vector of integers, but has to be in the format "channels first".

input\_names (character, factor or list)

The input names of the model excluding the batch dimension. For a model with a single input layer and input axis (e.g., for tabular data), the input names can be specified as a character vector or factor, e.g., for a dense layer with 3 input features use c("X1", "X2", "X3"). If the model input consists of multiple axes (e.g., for signal and image data), use a list of character vectors or factors for each axis in the format "channels first", e.g., use list(c("C1", "C2"), c("L1", "L2", "L3", "L4", "L5")) for a 1D convolutional input layer with signal length 4 and 2 channels.

*Note:* This argument is optional and otherwise the names are generated automatically. But if this argument is set, all found input names in the passed model will be disregarded.

#### output\_names (character, factor )

A character vector with the names for the output dimensions excluding the batch dimension, e.g., for a model with 3 output nodes use c("Y1", "Y2", "Y3"). Instead of a character vector you can also use a factor to set an order for the plots.

*Note:* This argument is optional and otherwise the names are generated automatically. But if this argument is set, all found output names in the passed model will be disregarded.

Method clone(): The objects of this class are cloneable with this method.

Usage: AgnosticWrapper\$clone(deep = FALSE) Arguments: deep Whether to make a deep clone.

ConnectionWeights Connection weights method

## Description

This class implements the *Connection weights* method investigated by Olden et al. (2004), which results in a relevance score for each input variable. The basic idea is to multiply all path weights for each possible connection between an input feature and the output node and then calculate the sum over them. Besides, it is originally a global interpretation method and independent of the input data. For a neural network with 3 hidden layers with weight matrices  $W_1$ ,  $W_2$  and  $W_3$ , this method results in a simple matrix multiplication independent of the activation functions in between:

$$W_1 * W_2 * W_3.$$

In this package, we extended this method to a local method inspired by the method *Gradient*×*Input* (see Gradient). Hence, the local variant is simply the point-wise product of the global *Connection* weights method and the input data. You can use this variant by setting the times\_input argument to TRUE and providing input data.

The R6 class can also be initialized using the run\_cw function as a helper function so that no prior knowledge of R6 classes is required.

## Super class

innsight::InterpretingMethod -> ConnectionWeights

## **Public fields**

times\_input (logical(1))

This logical value indicates whether the results from the *Connection weights* method were multiplied by the provided input data or not. Thus, this value specifies whether the original global variant of the method or the local one was applied. If the value is TRUE, then data is provided in the field data.

## Methods

#### **Public methods:**

- ConnectionWeights\$new()
- ConnectionWeights\$clone()

#### **ConnectionWeights**

**Method** new(): Create a new instance of the class ConnectionWeights. When initialized, the method is applied and the results are stored in the field result.

```
Usage:
ConnectionWeights$new(
   converter,
   data = NULL,
   output_idx = NULL,
   output_label = NULL,
   channels_first = TRUE,
   times_input = FALSE,
   verbose = interactive(),
   dtype = "float"
```

#### Arguments:

#### converter (Converter)

An instance of the Converter class that includes the torch-converted model and some other model-specific attributes. See Converter for details.

#### data (array, data.frame, torch\_tensor or list)

The data to which the method is to be applied. These must have the same format as the input data of the passed model to the converter object. This means either

- an array, data.frame, torch\_tensor or array-like format of size (*batch\_size, dim\_in*), if e.g.the model has only one input layer, or
- a list with the corresponding input data (according to the upper point) for each of the input layers.

This argument is only relevant if times\_input is TRUE, otherwise it will be ignored because it is a locale (i.e. explanation for each data point individually) method only in this case.

#### output\_idx (integer, list or NULL)

These indices specify the output nodes for which the method is to be applied. In order to allow models with multiple output layers, there are the following possibilities to select the indices of the output nodes in the individual output layers:

- An integer vector of indices: If the model has only one output layer, the values correspond to the indices of the output nodes, e.g., c(1,3,4) for the first, third and fourth output node. If there are multiple output layers, the indices of the output nodes from the first output layer are considered.
- A list of integer vectors of indices: If the method is to be applied to output nodes from different layers, a list can be passed that specifies the desired indices of the output nodes for each output layer. Unwanted output layers have the entry NULL instead of a vector of indices, e.g., list(NULL, c(1,3)) for the first and third output node in the second output layer.
- NULL (default): The method is applied to all output nodes in the first output layer but is limited to the first ten as the calculations become more computationally expensive for more output nodes.

#### output\_label (character, factor, list or NULL)

These values specify the output nodes for which the method is to be applied. Only values that were previously passed with the argument output\_names in the converter can be used. In order to allow models with multiple output layers, there are the following possibilities to select the names of the output nodes in the individual output layers:

- A character vector or factor of labels: If the model has only one output layer, the values correspond to the labels of the output nodes named in the passed Converter object, e.g., c("a", "c", "d") for the first, third and fourth output node if the output names are c("a", "b", "c", "d"). If there are multiple output layers, the names of the output nodes from the first output layer are considered.
- A list of charactor/factor vectors of labels: If the method is to be applied to output nodes from different layers, a list can be passed that specifies the desired labels of the output nodes for each output layer. Unwanted output layers have the entry NULL instead of a vector of labels, e.g., list(NULL, c("a", "c")) for the first and third output node in the second output layer.
- NULL (default): The method is applied to all output nodes in the first output layer but is limited to the first ten as the calculations become more computationally expensive for more output nodes.

#### channels\_first (logical(1))

The channel position of the given data (argument data). If TRUE, the channel axis is placed at the second position between the batch size and the rest of the input axes, e.g., c(10,3,32,32) for a batch of ten images with three channels and a height and width of 32 pixels. Otherwise (FALSE), the channel axis is at the last position, i.e., c(10,32,32,3). If the data has no channel axis, use the default value TRUE.

#### times\_input (logical(1))

Multiplies the results with the input features. This variant turns the global *Connection weights* method into a local one. Default: FALSE.

#### verbose (logical(1))

This logical argument determines whether a progress bar is displayed for the calculation of the method or not. The default value is the output of the primitive R function interactive().

#### dtype (character(1))

The data type for the calculations. Use either 'float' for torch\_float or 'double' for torch\_double.

Method clone(): The objects of this class are cloneable with this method.

#### Usage:

ConnectionWeights\$clone(deep = FALSE)

Arguments:

deep Whether to make a deep clone.

## **ConnectionWeights**

#### References

• J. D. Olden et al. (2004) An accurate comparison of methods for quantifying variable importance in artificial neural networks using simulated data. Ecological Modelling 178, p. 389–397

#### See Also

Other methods: DeepLift, DeepSHAP, ExpectedGradient, Gradient, IntegratedGradient, LIME, LRP, SHAP, SmoothGrad

## Examples

```
#----- Example 1: Torch ------
library(torch)
# Create nn_sequential model
model <- nn_sequential(</pre>
 nn_linear(5, 12),
 nn_relu(),
 nn_linear(12, 1),
 nn_sigmoid()
)
# Create Converter with input names
converter <- Converter$new(model,</pre>
 input_dim = c(5),
 input_names = list(c("Car", "Cat", "Dog", "Plane", "Horse"))
)
# You can also use the helper function for the initialization part
converter <- convert(model,</pre>
 input_dim = c(5),
 input_names = list(c("Car", "Cat", "Dog", "Plane", "Horse"))
)
# Apply method Connection Weights
cw <- ConnectionWeights$new(converter)</pre>
# Again, you can use a helper function `run_cw()` for initializing
cw <- run_cw(converter)</pre>
# Print the head of the result as a data.frame
head(get_result(cw, "data.frame"), 5)
# Plot the result
plot(cw)
#----- Example 2: Neuralnet -----
if (require("neuralnet")) {
 library(neuralnet)
 data(iris)
```

```
# Train a Neural Network
 nn <- neuralnet((Species == "setosa") ~ Petal.Length + Petal.Width,</pre>
   iris,
   linear.output = FALSE,
   hidden = c(3, 2), act.fct = "tanh", rep = 1
 )
 # Convert the trained model
 converter <- convert(nn)</pre>
 # Apply the Connection Weights method
 cw <- run_cw(converter)</pre>
 # Get the result as a torch tensor
 get_result(cw, type = "torch.tensor")
 # Plot the result
 plot(cw)
}
# ------Example 3: Keras ------
if (require("keras") & keras::is_keras_available()) {
 library(keras)
 # Make sure keras is installed properly
 is_keras_available()
 data <- array(rnorm(10 * 32 * 32 * 3), dim = c(10, 32, 32, 3))
 model <- keras_model_sequential()</pre>
 model %>%
   layer_conv_2d(
     input_shape = c(32, 32, 3), kernel_size = 8, filters = 8,
      activation = "softplus", padding = "valid") %>%
   layer_conv_2d(
     kernel_size = 8, filters = 4, activation = "tanh",
     padding = "same") %>%
    layer_conv_2d(
     kernel_size = 4, filters = 2, activation = "relu",
     padding = "valid") %>%
    layer_flatten() %>%
   layer_dense(units = 64, activation = "relu") %>%
   layer_dense(units = 16, activation = "relu") %>%
    layer_dense(units = 2, activation = "softmax")
 # Convert the model
 converter <- convert(model)</pre>
 # Apply the Connection Weights method
 cw <- run_cw(converter)</pre>
 # Get the head of the result as a data.frame
```

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## **ConvertedModel**

```
head(get_result(cw, type = "data.frame"), 5)

# Plot the result for all classes
plot(cw, output_idx = 1:2)
}
#------ Plotly plots ------
if (require("plotly")) {
    # You can also create an interactive plot with plotly.
    # This is a suggested package, so make sure that it is installed
    library(plotly)
    plot(cw, as_plotly = TRUE)
}
```

ConvertedModel Conver

## Converted torch-based model

## Description

This class stores all layers converted to torch in a module which can be used like the original model (but torch-based). In addition, it provides other functions that are useful for interpreting individual predictions or explaining the entire model. This model is part of the class Converter and is the core for all the necessary calculations in the methods provided in this package.

#### Usage

```
ConvertedModel(modules_list, graph, input_nodes, output_nodes, dtype = "float")
```

## Arguments

<pre>modules_list</pre>	(list)
	A list of all accepted layers created by the Converter class during initialization.

graph

#### (list)

The graph argument gives a way to pass an input through the model, which is especially relevant for non-sequential architectures. It can be seen as a list of steps in which order the layers from modules\_list must be applied. The list contains the following elements:

\$current\_nodes

This list describes the current position and the number of the respective intermediate values when passing through the model. For example, list(1,3,3) means that in this step one output from the first layer and two from the third layer (the numbers correspond to the list indices from the modules\_list argument) are available for the calculation of the current layer with index used\_node.

	<ul> <li>\$used_node The index of the layer from the modules_list argument which will be applied in this step.</li> <li>\$used_idx The indices of the outputs from current_nodes, which are used as inputs of the current layer (used_node).</li> <li>\$times The frequency of the output value, i.e., is the output used more than once as an input for subsequent layers?</li> </ul>
input_nodes	(numeric) A vector of layer indices describing the input layers, i.e., they are used as the starting point for the calculations.
output_nodes	(numeric) A vector of layer indices describing the indices of the output layers.
dtype	<pre>(character(1)) The data type for all the calculations and defined tensors. Use either 'float' for torch::torch_float or 'double' for torch::torch_double.</pre>

#### Method forward()

The forward method of the whole model, i.e., it calculates the output y = f(x) of a given input x. In doing so, all intermediate values are stored in the individual torch modules from modules\_list.

## Usage:

```
self(x,
    channels_first = TRUE,
    save_input = FALSE,
    save_preactivation = FALSE,
    save_output = FALSE,
    save_last_layer = FALSE)
```

## **Arguments:**

x The input torch tensor for this model.

- channels\_first If the input tensor x is given in the format 'channels first', use TRUE. Otherwise, if the channels are last, use FALSE and the input will be transformed into the format 'channels first'. Default: TRUE.
- save\_input Logical value whether the inputs from each layer are to be saved or not. Default: FALSE.
- save\_preactivation Logical value whether the preactivations from each layer are to be saved or not. Default: FALSE.
- save\_output Logical value whether the outputs from each layer are to be saved or not. Default: FALSE.

## **ConvertedModel**

save\_last\_layer Logical value whether the inputs, preactivations and outputs from the last layer are to be saved or not. Default: FALSE.

#### **Return:**

Returns a list of the output values of the model with respect to the given inputs.

## Method update\_ref()

This method updates the intermediate values in each module from the list modules\_list for the reference input x\_ref and returns the output from it in the same way as in the forward method.

#### Usage:

## **Arguments:**

x\_ref Reference input of the model.

- channels\_first If the tensor x\_ref is given in the format 'channels first' use TRUE. Otherwise, if the channels are last, use FALSE and the input will be transformed into the format 'channels first'. Default: TRUE.
- save\_input Logical value whether the inputs from each layer are to be saved or not. Default: FALSE.
- save\_preactivation Logical value whether the preactivations from each layer are to be saved or not. Default: FALSE.
- save\_output Logical value whether the outputs from each layer are to be saved or not. Default: FALSE.
- save\_last\_layer Logical value whether the inputs, preactivations and outputs from the last layer are to be saved or not. Default: FALSE.

#### **Return:**

Returns a list of the output values of the model with respect to the given reference input.

## Method set\_dtype()

This method changes the data type for all the layers in modules\_list. Use either 'float' for torch::torch\_float or 'double' for torch::torch\_double.

## Usage:

self\$set\_dtype(dtype)

#### **Arguments:**

dtype The data type for all the calculations and defined tensors.

Converter

#### Description

This class analyzes a passed neural network and stores its internal structure and the individual layers by converting the entire network into an nn\_module. With the help of this converter, many methods for interpreting the behavior of neural networks are provided, which give a better understanding of the whole model or individual predictions. You can use models from the following libraries:

- torch (nn\_sequential)
- keras (keras\_model, keras\_model\_sequential),
- neuralnet

Furthermore, a model can be passed as a list (see vignette("detailed\_overview", package =
"innsight") or the website).

The R6 class can also be initialized using the convert function as a helper function so that no prior knowledge of R6 classes is required.

#### Details

In order to better understand and analyze the prediction of a neural network, the preactivation or other information of the individual layers, which are not stored in an ordinary forward pass, are often required. For this reason, a given neural network is converted into a torch-based neural network, which provides all the necessary information for an interpretation. The converted torch model is stored in the field model and is an instance of ConvertedModel. However, before the torch model is created, all relevant details of the passed model are extracted into a named list. This list can be saved in complete form in the model\_as\_list field with the argument save\_model\_as\_list, but this may consume a lot of memory for large networks and is not done by default. Also, this named list can again be used as a passed model for the class Converter, which will be described in more detail in the section 'Implemented Libraries'.

## **Implemented methods:**

An object of the Converter class can be applied to the following methods:

- Layerwise Relevance Propagation (LRP), Bach et al. (2015)
- Deep Learning Important Features (DeepLift), Shrikumar et al. (2017)
- *DeepSHAP*, Lundberg et al. (2017)
- *SmoothGrad* including *SmoothGrad*×*Input*, Smilkov et al. (2017)
- Vanilla Gradient including Gradient×Input
- Integrated gradients (IntegratedGradient), Sundararajan et al. (2017)
- Expected gradients (ExpectedGradient), Erion et al. (2021)
- ConnectionWeights, Olden et al. (2004)
- Local interpretable model-agnostic explanation (LIME), Ribeiro et al. (2016)
- Shapley values (SHAP), Lundberg et al. (2017)

## Converter

## **Implemented libraries:**

The converter is implemented for models from the libraries nn\_sequential, neuralnet and keras. But you can also write a wrapper for other libraries because a model can be passed as a named list which is described in detail in the vignette "In-depth explanation"

(see vignette("detailed\_overview", package = "innsight") or the website).

## **Public fields**

```
model (ConvertedModel)
```

The converted neural network based on the torch module ConvertedModel.

```
input_dim (list)
```

A list of the input dimensions of each input layer. Since internally the "channels first" format is used for all calculations, the input shapes are already in this format. In addition, the batch dimension isn't included, e.g., for an input layer of shape c(\*, 32, 32, 3) with channels in the last axis you get list(c(3, 32, 32)).

#### input\_names (list)

A list with the names as factors for each input dimension of the shape as stored in the field input\_dim.

## output\_dim (list)

A list of the output dimensions of each output layer.

### output\_names (list)

A list with the names as factors for each output dimension of shape as stored in the field output\_dim.

#### model\_as\_list (list)

The model stored in a named list (see details for more information). By default, the entry model\_as\_list\$layers is deleted because it may require a lot of memory for large networks. However, with the argument save\_model\_as\_list this can be saved anyway.

#### Methods

## **Public methods:**

- Converter\$new()
- Converter\$print()
- Converter\$clone()

**Method** new(): Create a new Converter object for a given neural network. When initialized, the model is inspected, converted as a list and then the a torch-converted model (ConvertedModel) is created and stored in the field model.

Usage:

```
Converter$new(
  model,
  input_dim = NULL,
  input_names = NULL,
  output_names = NULL,
  dtype = "float",
  save_model_as_list = FALSE
)
```

#### Arguments:

```
model (nn_sequential, keras_model, neuralnet or list)
```

A trained neural network for classification or regression tasks to be interpreted. Only models from the following types or packages are allowed: nn\_sequential, keras\_model, keras\_model\_sequential, neuralnet or a named list (see details).

```
input_dim (integer or list)
```

The model input dimension excluding the batch dimension. If there is only one input layer it can be specified as a vector, otherwise use a list of the shapes of the individual input layers. *Note:* This argument is only necessary for torch::nn\_sequential, for all others it is automatically extracted from the passed model and used for internal checks. In addition, the input dimension input\_dim has to be in the format "channels first".

#### input\_names (character, factor or list)

The input names of the model excluding the batch dimension. For a model with a single input layer and input axis (e.g., for tabular data), the input names can be specified as a character vector or factor, e.g., for a dense layer with 3 input features use c("X1", "X2", "X3"). If the model input consists of multiple axes (e.g., for signal and image data), use a list of character vectors or factors for each axis in the format "channels first", e.g., use list(c("C1", "C2"), c("L1", "L2", "L3", "L4", "L5")) for a 1D convolutional input layer with signal length 4 and 2 channels. For models with multiple input layers, use a list of the upper ones for each layer.

*Note:* This argument is optional and otherwise the names are generated automatically. But if this argument is set, all found input names in the passed model will be disregarded.

## output\_names (character, factor or list)

A character vector with the names for the output dimensions excluding the batch dimension, e.g., for a model with 3 output nodes use c("Y1", "Y2", "Y3"). Instead of a character vector you can also use a factor to set an order for the plots. If the model has multiple output layers, use a list of the upper ones.

*Note:* This argument is optional and otherwise the names are generated automatically. But if this argument is set, all found output names in the passed model will be disregarded.

dtype (character(1))

The data type for the calculations. Use either 'float' for torch::torch\_float or 'double' for torch::torch\_double.

## save\_model\_as\_list (logical(1))

This logical value specifies whether the passed model should be stored as a list. This list can take a lot of memory for large networks, so by default the model is not stored as a list

(FALSE).

Returns: A new instance of the R6 class Converter.

**Method** print(): Print a summary of the Converter object. This summary contains the individual fields and in particular the torch-converted model (ConvertedModel) with the layers.

Usage:

Converter\$print()

Returns: Returns the Converter object invisibly via base::invisible.

Method clone(): The objects of this class are cloneable with this method.

Usage:

Converter\$clone(deep = FALSE)

Arguments:

deep Whether to make a deep clone.

## References

- J. D. Olden et al. (2004) An accurate comparison of methods for quantifying variable importance in artificial neural networks using simulated data. Ecological Modelling 178, p. 389–397
- S. Bach et al. (2015) On pixel-wise explanations for non-linear classifier decisions by layerwise relevance propagation. PLoS ONE 10, p. 1-46
- M. T. Ribeiro et al. (2016) "Why should I trust you?": Explaining the predictions of any classifier. KDD 2016, p. 1135-1144
- A. Shrikumar et al. (2017) *Learning important features through propagating activation differences.* ICML 2017, p. 4844-4866
- D. Smilkov et al. (2017) SmoothGrad: removing noise by adding noise. CoRR, abs/1706.03825
   M. Sundararajan et al. (2017) Axiomatic attribution for deep networks. ICML 2017, p.3319-3328
- S. Lundberg et al. (2017) *A unified approach to interpreting model predictions*. NIPS 2017, p. 4768-4777
- G. Erion et al. (2021) *Improving performance of deep learning models with axiomatic attribution priors and expected gradients*. Nature Machine Intelligence 3, p. 620-631

#### Examples

```
#----- Example 1: Torch ------
library(torch)
model <- nn_sequential(
    nn_linear(5, 10),
    nn_relu(),
    nn_linear(10, 2, bias = FALSE),
    nn_softmax(dim = 2)
)</pre>
```

```
data <- torch_randn(25, 5)</pre>
# Convert the model (for torch models is 'input_dim' required!)
converter <- Converter$new(model, input_dim = c(5))</pre>
# You can also use the helper function `convert()` for initializing a
# Converter object
converter <- convert(model, input_dim = c(5))</pre>
# Get the converted model stored in the field 'model'
converted_model <- converter$model</pre>
# Test it with the original model
mean(abs(converted_model(data)[[1]] - model(data)))
#----- Example 2: Neuralnet -----
if (require("neuralnet")) {
 library(neuralnet)
 data(iris)
 # Train a neural network
 nn <- neuralnet((Species == "setosa") ~ Petal.Length + Petal.Width,</pre>
   iris,
   linear.output = FALSE,
   hidden = c(3, 2), act.fct = "tanh", rep = 1
 )
 # Convert the model
 converter <- convert(nn)</pre>
 # Print all the layers
 converter$model$modules_list
}
#----- Example 3: Keras -----
if (require("keras") & keras::is_keras_available()) {
 library(keras)
 # Make sure keras is installed properly
 is_keras_available()
 # Define a keras model
 model <- keras_model_sequential() %>%
   layer_conv_2d(
     input_shape = c(32, 32, 3), kernel_size = 8, filters = 8,
     activation = "relu", padding = "same") %>%
   layer_conv_2d(
     kernel_size = 8, filters = 4,
     activation = "tanh", padding = "same") %>%
   layer_conv_2d(
     kernel_size = 4, filters = 2,
```

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## Converter

```
activation = "relu", padding = "same") %>%
    layer_flatten() %>%
    layer_dense(units = 64, activation = "relu") %>%
    layer_dense(units = 1, activation = "sigmoid")
  # Convert this model and save model as list
  converter <- convert(model, save_model_as_list = TRUE)</pre>
  # Print the converted model as a named list
  str(converter$model_as_list, max.level = 1)
}
#----- Example 4: List -----
# Define a model
model <- list()</pre>
model$input_dim <- 5</pre>
model$input_names <- list(c("Feat1", "Feat2", "Feat3", "Feat4", "Feat5"))</pre>
model$input_nodes <- c(1)</pre>
model$output_dim <- 2</pre>
model$output_names <- list(c("Cat", "no-Cat"))</pre>
model$output_nodes <- c(2)</pre>
model$layers$Layer_1 <-</pre>
  list(
    type = "Dense",
    weight = matrix(rnorm(5 * 20), 20, 5),
   bias = rnorm(20),
    activation_name = "tanh",
   \dim_i = 5,
    dim_out = 20,
    input_layers = 0, # '0' means model input layer
    output_layers = 2
  )
model$layers$Layer_2 <-</pre>
  list(
    type = "Dense",
   weight = matrix(rnorm(20 * 2), 2, 20),
   bias = rnorm(2),
   activation_name = "softmax",
    input_layers = 1,
    output_layers = -1 # '-1' means model output layer
    #dim_in = 20, # These values are optional, but
    #dim_out = 2 # useful for internal checks
  )
# Convert the model
converter <- convert(model)</pre>
# Get the model as a torch::nn_module
torch_model <- converter$model</pre>
```

```
# You can use it as a normal torch model
x <- torch::torch_randn(3, 5)
torch_model(x)</pre>
```

DeepLift

Deep learning important features (DeepLift)

#### Description

This is an implementation of the *deep learning important features (DeepLift)* algorithm introduced by Shrikumar et al. (2017). It's a local method for interpreting a single element x of the dataset concerning a reference value x' and returns the contribution of each input feature from the difference of the output (y = f(x)) and reference output (y' = f(x')) prediction. The basic idea of this method is to decompose the difference-from-reference prediction with respect to the input features, i.e.,

$$\Delta y = y - y' = \sum_{i} C(x_i)$$

Compared to *Layer-wise relevance propagation* (see LRP), the DeepLift method is an exact decomposition and not an approximation, so we get real contributions of the input features to the difference-from-reference prediction. There are two ways to handle activation functions: the *Rescale* rule ('rescale') and *RevealCancel* rule ('reveal\_cancel').

The R6 class can also be initialized using the run\_deeplift function as a helper function so that no prior knowledge of R6 classes is required.

## Super class

innsight::InterpretingMethod -> DeepLift

#### **Public fields**

x\_ref (list)

The reference input for the DeepLift method. This value is stored as a list of torch\_tensors of shape (1, dim\_in) for each input layer.

```
rule_name (character(1))
```

Name of the applied rule to calculate the contributions. Either 'rescale' or 'reveal\_cancel'.

#### Methods

**Public methods:** 

- DeepLift\$new()
- DeepLift\$clone()

**Method** new(): Create a new instance of the DeepLift R6 class. When initialized, the method *DeepLift* is applied to the given data and the results are stored in the field result.

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## DeepLift

```
Usage:
DeepLift$new(
    converter,
    data,
    channels_first = TRUE,
    output_idx = NULL,
    output_label = NULL,
    ignore_last_act = TRUE,
    rule_name = "rescale",
    x_ref = NULL,
    winner_takes_all = TRUE,
    verbose = interactive(),
    dtype = "float"
)
```

## Arguments: converter (Converter)

An instance of the Converter class that includes the torch-converted model and some other model-specific attributes. See Converter for details.

## data (array, data.frame, torch\_tensor or list)

The data to which the method is to be applied. These must have the same format as the input data of the passed model to the converter object. This means either

- an array, data.frame, torch\_tensor or array-like format of size (*batch\_size, dim\_in*), if e.g., the model has only one input layer, or
- a list with the corresponding input data (according to the upper point) for each of the input layers.

## channels\_first (logical(1))

The channel position of the given data (argument data). If TRUE, the channel axis is placed at the second position between the batch size and the rest of the input axes, e.g., c(10,3,32,32) for a batch of ten images with three channels and a height and width of 32 pixels. Otherwise (FALSE), the channel axis is at the last position, i.e., c(10,32,32,3). If the data has no channel axis, use the default value TRUE.

## output\_idx (integer, list or NULL)

These indices specify the output nodes for which the method is to be applied. In order to allow models with multiple output layers, there are the following possibilities to select the indices of the output nodes in the individual output layers:

- An integer vector of indices: If the model has only one output layer, the values correspond to the indices of the output nodes, e.g., c(1,3,4) for the first, third and fourth output node. If there are multiple output layers, the indices of the output nodes from the first output layer are considered.
- A list of integer vectors of indices: If the method is to be applied to output nodes from different layers, a list can be passed that specifies the desired indices of the output nodes for each output layer. Unwanted output layers have the entry NULL instead of a vector of indices, e.g., list(NULL, c(1,3)) for the first and third output node in the second output layer.

- NULL (default): The method is applied to all output nodes in the first output layer but is limited to the first ten as the calculations become more computationally expensive for more output nodes.
- output\_label (character, factor, list or NULL)

These values specify the output nodes for which the method is to be applied. Only values that were previously passed with the argument output\_names in the converter can be used. In order to allow models with multiple output layers, there are the following possibilities to select the names of the output nodes in the individual output layers:

- A character vector or factor of labels: If the model has only one output layer, the values correspond to the labels of the output nodes named in the passed Converter object, e.g., c("a", "c", "d") for the first, third and fourth output node if the output names are c("a", "b", "c", "d"). If there are multiple output layers, the names of the output nodes from the first output layer are considered.
- A list of charactor/factor vectors of labels: If the method is to be applied to output nodes from different layers, a list can be passed that specifies the desired labels of the output nodes for each output layer. Unwanted output layers have the entry NULL instead of a vector of labels, e.g., list(NULL, c("a", "c")) for the first and third output node in the second output layer.
- NULL (default): The method is applied to all output nodes in the first output layer but is limited to the first ten as the calculations become more computationally expensive for more output nodes.

#### ignore\_last\_act (logical(1))

Set this logical value to include the last activation functions for each output layer, or not (default: TRUE). In practice, the last activation (especially for softmax activation) is often omitted.

#### rule\_name (character(1))

Name of the applied rule to calculate the contributions. Use either 'rescale' or 'reveal\_cancel'.

#### x\_ref (array, data.frame, torch\_tensor or list)

The reference input for the DeepLift method. This value must have the same format as the input data of the passed model to the converter object. This means either

- an array, data.frame, torch\_tensor or array-like format of size (1, dim\_in), if e.g., the model has only one input layer, or
- a list with the corresponding input data (according to the upper point) for each of the input layers.
- It is also possible to use the default value NULL to take only zeros as reference input.

winner\_takes\_all (logical(1))

This logical argument is only relevant for MaxPooling layers and is otherwise ignored. With this layer type, it is possible that the position of the maximum values in the pooling kernel of the normal input x and the reference input x' may not match, which leads to a violation of the summation-to-delta property. To overcome this problem, another variant is implemented, which treats a MaxPooling layer as an AveragePooling layer in the backward pass

## DeepLift

only, leading to an uniform distribution of the upper-layer contribution to the lower layer.

```
verbose (logical(1))
```

This logical argument determines whether a progress bar is displayed for the calculation of the method or not. The default value is the output of the primitive R function interactive().

```
dtype (character(1))
```

The data type for the calculations. Use either 'float' for torch\_float or 'double' for torch\_double.

Method clone(): The objects of this class are cloneable with this method.

Usage:

DeepLift\$clone(deep = FALSE)

Arguments:

deep Whether to make a deep clone.

## References

A. Shrikumar et al. (2017) *Learning important features through propagating activation differences*. ICML 2017, p. 4844-4866

#### See Also

Other methods: ConnectionWeights, DeepSHAP, ExpectedGradient, Gradient, IntegratedGradient, LIME, LRP, SHAP, SmoothGrad

#### Examples

```
#----- Example 1: Torch -----
library(torch)
# Create nn_sequential model and data
model <- nn_sequential(</pre>
 nn_linear(5, 12),
 nn_relu(),
 nn_linear(12, 2),
 nn_softmax(dim = 2)
)
data <- torch_randn(25, 5)</pre>
ref <- torch_randn(1, 5)</pre>
# Create Converter using the helper function `convert`
converter <- convert(model, input_dim = c(5))</pre>
# Apply method DeepLift
deeplift <- DeepLift$new(converter, data, x_ref = ref)</pre>
# You can also use the helper function `run_deeplift` for initializing
# an R6 DeepLift object
```

```
deeplift <- run_deeplift(converter, data, x_ref = ref)</pre>
# Print the result as a torch tensor for first two data points
get_result(deeplift, "torch.tensor")[1:2]
# Plot the result for both classes
plot(deeplift, output_idx = 1:2)
# Plot the boxplot of all datapoints and for both classes
boxplot(deeplift, output_idx = 1:2)
# ------ Example 2: Neuralnet -----
if (require("neuralnet")) {
 library(neuralnet)
 data(iris)
 # Train a neural network
 nn <- neuralnet((Species == "setosa") ~ Petal.Length + Petal.Width,</pre>
   iris,
   linear.output = FALSE,
   hidden = c(3, 2), act.fct = "tanh", rep = 1
 )
 # Convert the model
 converter <- convert(nn)</pre>
 # Apply DeepLift with rescale-rule and a reference input of the feature
 # means
 x_ref <- matrix(colMeans(iris[, c(3, 4)]), nrow = 1)</pre>
 deeplift_rescale <- run_deeplift(converter, iris[, c(3, 4)], x_ref = x_ref)</pre>
 # Get the result as a dataframe and show first 5 rows
 get_result(deeplift_rescale, type = "data.frame")[1:5, ]
 # Plot the result for the first datapoint in the data
 plot(deeplift_rescale, data_idx = 1)
 # Plot the result as boxplots
 boxplot(deeplift_rescale)
}
# ----- Example 3: Keras -----
if (require("keras") & keras::is_keras_available()) {
 library(keras)
 # Make sure keras is installed properly
 is_keras_available()
 data <- array(rnorm(10 * 32 * 32 * 3), dim = c(10, 32, 32, 3))
 model <- keras_model_sequential()</pre>
 model %>%
```

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## DeepSHAP

```
layer_conv_2d(
     input_shape = c(32, 32, 3), kernel_size = 8, filters = 8,
     activation = "softplus", padding = "valid") %>%
   layer_conv_2d(
     kernel_size = 8, filters = 4, activation = "tanh",
     padding = "same") %>%
   layer_conv_2d(
     kernel_size = 4, filters = 2, activation = "relu",
     padding = "valid") %>%
   layer_flatten() %>%
   layer_dense(units = 64, activation = "relu") %>%
   layer_dense(units = 16, activation = "relu") %>%
   layer_dense(units = 2, activation = "softmax")
 # Convert the model
 converter <- convert(model)</pre>
 # Apply the DeepLift method with reveal-cancel rule
 deeplift_revcancel <- run_deeplift(converter, data,</pre>
   channels_first = FALSE,
   rule_name = "reveal_cancel"
 )
 # Plot the result for the first image and both classes
 plot(deeplift_revcancel, output_idx = 1:2)
 # Plot the pixel-wise median reelvance image
 plot_global(deeplift_revcancel, output_idx = 1)
}
#-----Plotly plots ------
if (require("plotly")) {
 # You can also create an interactive plot with plotly.
 # This is a suggested package, so make sure that it is installed
 library(plotly)
 boxplot(deeplift, as_plotly = TRUE)
}
```

DeepSHAP

Deep Shapley additive explanations (DeepSHAP)

## Description

The *DeepSHAP* method extends the *DeepLift* technique by not only considering a single reference value but by calculating the average from several, ideally representative reference values at each layer. The obtained feature-wise results are approximate Shapley values for the chosen output, where the conditional expectation is computed using these different reference values, i.e., the *DeepSHAP* method decompose the difference from the prediction and the mean prediction

 $f(x) - E[f(\tilde{x})]$  in feature-wise effects. The reference values can be passed by the argument data\_ref.

The R6 class can also be initialized using the run\_deepshap function as a helper function so that no prior knowledge of R6 classes is required.

#### Super class

innsight::InterpretingMethod -> DeepSHAP

## **Public fields**

```
rule_name (character(1))
```

Name of the applied rule to calculate the contributions. Either 'rescale' or 'reveal\_cancel'.

```
data_ref (list)
```

The passed reference dataset for estimating the conditional expectation as a list of torch\_tensors in the selected data format (field dtype) matching the corresponding shapes of the individual input layers. Besides, the channel axis is moved to the second position after the batch size because internally only the format *channels first* is used.

## Methods

**Public methods:** 

- DeepSHAP\$new()
- DeepSHAP\$clone()

**Method** new(): Create a new instance of the DeepSHAP R6 class. When initialized, the method *DeepSHAP* is applied to the given data and the results are stored in the field result.

#### Usage:

```
DeepSHAP$new(
   converter,
   data,
   channels_first = TRUE,
   output_idx = NULL,
   ignore_last_act = TRUE,
   rule_name = "rescale",
   data_ref = NULL,
   limit_ref = 100,
   winner_takes_all = TRUE,
   verbose = interactive(),
   dtype = "float"
)
```

Arguments:

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## DeepSHAP

#### converter (Converter)

An instance of the Converter class that includes the torch-converted model and some other model-specific attributes. See Converter for details.

#### data (array, data.frame, torch\_tensor or list)

The data to which the method is to be applied. These must have the same format as the input data of the passed model to the converter object. This means either

- an array, data.frame, torch\_tensor or array-like format of size (*batch\_size, dim\_in*), if e.g., the model has only one input layer, or
- a list with the corresponding input data (according to the upper point) for each of the input layers.

#### channels\_first (logical(1))

The channel position of the given data (argument data). If TRUE, the channel axis is placed at the second position between the batch size and the rest of the input axes, e.g., c(10,3,32,32) for a batch of ten images with three channels and a height and width of 32 pixels. Otherwise (FALSE), the channel axis is at the last position, i.e., c(10,32,32,3). If the data has no channel axis, use the default value TRUE.

#### output\_idx (integer, list or NULL)

These indices specify the output nodes for which the method is to be applied. In order to allow models with multiple output layers, there are the following possibilities to select the indices of the output nodes in the individual output layers:

- An integer vector of indices: If the model has only one output layer, the values correspond to the indices of the output nodes, e.g., c(1,3,4) for the first, third and fourth output node. If there are multiple output layers, the indices of the output nodes from the first output layer are considered.
- A list of integer vectors of indices: If the method is to be applied to output nodes from different layers, a list can be passed that specifies the desired indices of the output nodes for each output layer. Unwanted output layers have the entry NULL instead of a vector of indices, e.g., list(NULL, c(1,3)) for the first and third output node in the second output layer.
- NULL (default): The method is applied to all output nodes in the first output layer but is limited to the first ten as the calculations become more computationally expensive for more output nodes.

#### output\_label (character, factor, list or NULL)

These values specify the output nodes for which the method is to be applied. Only values that were previously passed with the argument output\_names in the converter can be used. In order to allow models with multiple output layers, there are the following possibilities to select the names of the output nodes in the individual output layers:

- A character vector or factor of labels: If the model has only one output layer, the values correspond to the labels of the output nodes named in the passed Converter object, e.g., c("a", "c", "d") for the first, third and fourth output node if the output names are c("a", "b", "c", "d"). If there are multiple output layers, the names of the output nodes from the first output layer are considered.
- A list of charactor/factor vectors of labels: If the method is to be applied to output

nodes from different layers, a list can be passed that specifies the desired labels of the output nodes for each output layer. Unwanted output layers have the entry NULL instead of a vector of labels, e.g., list(NULL, c("a", "c")) for the first and third output node in the second output layer.

• NULL (default): The method is applied to all output nodes in the first output layer but is limited to the first ten as the calculations become more computationally expensive for more output nodes.

#### ignore\_last\_act (logical(1))

Set this logical value to include the last activation functions for each output layer, or not (default: TRUE). In practice, the last activation (especially for softmax activation) is often omitted.

#### rule\_name (character(1))

Name of the applied rule to calculate the contributions. Use either 'rescale' or 'reveal\_cancel'.

#### data\_ref (array, data.frame, torch\_tensor or list)

The reference data which is used to estimate the conditional expectation. These must have the same format as the input data of the passed model to the converter object. This means either

- an array, data.frame, torch\_tensor or array-like format of size (*batch\_size, dim\_in*), if e.g., the model has only one input layer, or
- a list with the corresponding input data (according to the upper point) for each of the input layers.
- or NULL (default) to use only a zero baseline for the estimation.

#### limit\_ref (integer(1))

This argument limits the number of instances taken from the reference dataset data\_ref so that only random limit\_ref elements and not the entire dataset are used to estimate the conditional expectation. A too-large number can significantly increase the computation time.

# winner\_takes\_all (logical(1))

This logical argument is only relevant for MaxPooling layers and is otherwise ignored. With this layer type, it is possible that the position of the maximum values in the pooling kernel of the normal input x and the reference input x' may not match, which leads to a violation of the summation-to-delta property. To overcome this problem, another variant is implemented, which treats a MaxPooling layer as an AveragePooling layer in the backward pass only, leading to an uniform distribution of the upper-layer contribution to the lower layer.

verbose (logical(1))

This logical argument determines whether a progress bar is displayed for the calculation of the method or not. The default value is the output of the primitive R function interactive().

#### dtype (character(1))

The data type for the calculations. Use either 'float' for torch\_float or 'double' for

## DeepSHAP

#### torch\_double.

Method clone(): The objects of this class are cloneable with this method.

Usage: DeepSHAP\$clone(deep = FALSE)

Arguments:

deep Whether to make a deep clone.

## References

S. Lundberg & S. Lee (2017) A unified approach to interpreting model predictions. NIPS 2017, p. 4768–4777

## See Also

Other methods: ConnectionWeights, DeepLift, ExpectedGradient, Gradient, IntegratedGradient, LIME, LRP, SHAP, SmoothGrad

## Examples

```
#----- Example 1: Torch ------
library(torch)
# Create nn_sequential model and data
model <- nn_sequential(</pre>
 nn_linear(5, 12),
 nn_relu(),
 nn_linear(12, 2),
  nn_softmax(dim = 2)
)
data <- torch_randn(25, 5)</pre>
# Create a reference dataset for the estimation of the conditional
# expectation
ref <- torch_randn(5, 5)</pre>
# Create Converter
converter <- convert(model, input_dim = c(5))</pre>
# Apply method DeepSHAP
deepshap <- DeepSHAP$new(converter, data, data_ref = ref)</pre>
# You can also use the helper function `run_deepshap` for initializing
# an R6 DeepSHAP object
deepshap <- run_deepshap(converter, data, data_ref = ref)</pre>
# Print the result as a torch tensor for first two data points
get_result(deepshap, "torch.tensor")[1:2]
# Plot the result for both classes
```

```
plot(deepshap, output_idx = 1:2)
# Plot the boxplot of all datapoints and for both classes
boxplot(deepshap, output_idx = 1:2)
# ----- Example 2: Neuralnet -----
if (require("neuralnet")) {
 library(neuralnet)
 data(iris)
 # Train a neural network
 nn <- neuralnet((Species == "setosa") ~ Petal.Length + Petal.Width,</pre>
   iris,
   linear.output = FALSE,
   hidden = c(3, 2), act.fct = "tanh", rep = 1
 )
 # Convert the model
 converter <- convert(nn)</pre>
 # Apply DeepSHAP with rescale-rule and a 100 (default of `limit_ref`)
 # instances as the reference dataset
 deepshap <- run_deepshap(converter, iris[, c(3, 4)],</pre>
                         data_ref = iris[, c(3, 4)])
 # Get the result as a dataframe and show first 5 rows
 get_result(deepshap, type = "data.frame")[1:5, ]
 # Plot the result for the first datapoint in the data
 plot(deepshap, data_idx = 1)
 # Plot the result as boxplots
 boxplot(deepshap)
}
# ----- Example 3: Keras -----
if (require("keras") & keras::is_keras_available()) {
 library(keras)
 # Make sure keras is installed properly
 is_keras_available()
 data <- array(rnorm(10 * 32 * 32 * 3), dim = c(10, 32, 32, 3))
 model <- keras_model_sequential()</pre>
 model %>%
   layer_conv_2d(
     input_shape = c(32, 32, 3), kernel_size = 8, filters = 8,
     activation = "softplus", padding = "valid") %>%
   layer_conv_2d(
     kernel_size = 8, filters = 4, activation = "tanh",
     padding = "same") %>%
```

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## **ExpectedGradient**

```
layer_conv_2d(
     kernel_size = 4, filters = 2, activation = "relu",
     padding = "valid") %>%
   layer_flatten() %>%
   layer_dense(units = 64, activation = "relu") %>%
   layer_dense(units = 16, activation = "relu") %>%
   layer_dense(units = 2, activation = "softmax")
 # Convert the model
 converter <- convert(model)</pre>
 # Apply the DeepSHAP method with zero baseline (wich is equivalent to
 # DeepLift with zero baseline)
 deepshap <- run_deepshap(converter, data, channels_first = FALSE)</pre>
 # Plot the result for the first image and both classes
 plot(deepshap, output_idx = 1:2)
 # Plot the pixel-wise median of the results
 plot_global(deepshap, output_idx = 1)
}
#----- Plotly plots -----
if (require("plotly")) {
 # You can also create an interactive plot with plotly.
 # This is a suggested package, so make sure that it is installed
 library(plotly)
 boxplot(deepshap, as_plotly = TRUE)
}
```

ExpectedGradient Expected Gradients

#### Description

The *Expected Gradients* method (Erion et al., 2021), also known as *GradSHAP*, is a local feature attribution technique which extends the IntegratedGradient method and provides approximate Shapley values. In contrast to IntegratedGradient, it considers not only a single reference value x' but the whole distribution of reference values  $X' \sim x'$  and averages the IntegratedGradient values over this distribution. Mathematically, the method can be described as follows:

$$E_{x' \sim X', \alpha \sim U(0,1)}[(x-x') \times \frac{\partial f(x' + \alpha(x-x'))}{\partial x}]$$

The distribution of the reference values is specified with the argument data\_ref, of which n samples are taken at random for each instance during the estimation.

The R6 class can also be initialized using the run\_expgrad function as a helper function so that no prior knowledge of R6 classes is required.

## Super classes

innsight::InterpretingMethod -> innsight::GradientBased -> ExpectedGradient

## **Public fields**

n (integer(1))

Number of samples from the distribution of reference values and number of samples for the approximation of the integration path along  $\alpha$  (default: 50).

```
data_ref (list)
```

The reference input for the ExpectedGradient method. This value is stored as a list of torch\_tensors of shape (, *dim\_in*) for each input layer.

## Methods

## **Public methods:**

- ExpectedGradient\$new()
- ExpectedGradient\$clone()

**Method** new(): Create a new instance of the ExpectedGradient R6 class. When initialized, the method *Expected Gradient* is applied to the given data and baseline values and the results are stored in the field result.

```
Usage:
ExpectedGradient$new(
   converter,
   data,
   data_ref = NULL,
   n = 50,
   channels_first = TRUE,
   output_idx = NULL,
   output_label = NULL,
   ignore_last_act = TRUE,
   verbose = interactive(),
   dtype = "float"
)
```

#### Arguments:

converter (Converter)

An instance of the Converter class that includes the torch-converted model and some other model-specific attributes. See Converter for details.

data (array, data.frame, torch\_tensor or list)

The data to which the method is to be applied. These must have the same format as the input data of the passed model to the converter object. This means either

• an array, data.frame, torch\_tensor or array-like format of size (*batch\_size, dim\_in*), if e.g., the model has only one input layer, or

• a list with the corresponding input data (according to the upper point) for each of the input layers.

#### data\_ref (array, data.frame, torch\_tensor or list)

The reference inputs for the ExpectedGradient method. This value must have the same format as the input data of the passed model to the converter object. This means either

- an array, data.frame, torch\_tensor or array-like format of size (, *dim\_in*), if e.g., the model has only one input layer, or
- a list with the corresponding input data (according to the upper point) for each of the input layers.
- It is also possible to use the default value NULL to take only zeros as reference input.
- n (integer(1))

Number of samples from the distribution of reference values and number of samples for the approximation of the integration path along  $\alpha$  (default: 50).

## channels\_first (logical(1))

The channel position of the given data (argument data). If TRUE, the channel axis is placed at the second position between the batch size and the rest of the input axes, e.g., c(10,3,32,32) for a batch of ten images with three channels and a height and width of 32 pixels. Otherwise (FALSE), the channel axis is at the last position, i.e., c(10,32,32,3). If the data has no channel axis, use the default value TRUE.

#### output\_idx (integer, list or NULL)

These indices specify the output nodes for which the method is to be applied. In order to allow models with multiple output layers, there are the following possibilities to select the indices of the output nodes in the individual output layers:

- An integer vector of indices: If the model has only one output layer, the values correspond to the indices of the output nodes, e.g., c(1,3,4) for the first, third and fourth output node. If there are multiple output layers, the indices of the output nodes from the first output layer are considered.
- A list of integer vectors of indices: If the method is to be applied to output nodes from different layers, a list can be passed that specifies the desired indices of the output nodes for each output layer. Unwanted output layers have the entry NULL instead of a vector of indices, e.g., list(NULL, c(1,3)) for the first and third output node in the second output layer.
- NULL (default): The method is applied to all output nodes in the first output layer but is limited to the first ten as the calculations become more computationally expensive for more output nodes.

## output\_label (character, factor, list or NULL)

These values specify the output nodes for which the method is to be applied. Only values that were previously passed with the argument output\_names in the converter can be used. In order to allow models with multiple output layers, there are the following possibilities to select the names of the output nodes in the individual output layers:

• A character vector or factor of labels: If the model has only one output layer, the values correspond to the labels of the output nodes named in the passed Converter ob-

ject, e.g., c("a", "c", "d") for the first, third and fourth output node if the output names are c("a", "b", "c", "d"). If there are multiple output layers, the names of the output nodes from the first output layer are considered.

- A list of charactor/factor vectors of labels: If the method is to be applied to output nodes from different layers, a list can be passed that specifies the desired labels of the output nodes for each output layer. Unwanted output layers have the entry NULL instead of a vector of labels, e.g., list(NULL, c("a", "c")) for the first and third output node in the second output layer.
- NULL (default): The method is applied to all output nodes in the first output layer but is limited to the first ten as the calculations become more computationally expensive for more output nodes.

#### ignore\_last\_act (logical(1))

Set this logical value to include the last activation functions for each output layer, or not (default: TRUE). In practice, the last activation (especially for softmax activation) is often omitted.

```
verbose (logical(1))
```

This logical argument determines whether a progress bar is displayed for the calculation of the method or not. The default value is the output of the primitive R function interactive().

```
dtype (character(1))
```

The data type for the calculations. Use either 'float' for torch\_float or 'double' for torch\_double.

Method clone(): The objects of this class are cloneable with this method.

Usage:

ExpectedGradient\$clone(deep = FALSE)

Arguments:

deep Whether to make a deep clone.

## References

G. Erion et al. (2021) \*Improving performance of deep learning models with \* *axiomatic attribution priors and expected gradients*. Nature Machine Intelligence 3, pp. 620-631.

#### See Also

Other methods: ConnectionWeights, DeepLift, DeepSHAP, Gradient, IntegratedGradient, LIME, LRP, SHAP, SmoothGrad

## Examples

```
#----- Example 1: Torch ----- Example 1: Torch -----
```

# Create nn\_sequential model and data

# **ExpectedGradient**

```
model <- nn_sequential(</pre>
 nn_linear(5, 12),
 nn_relu(),
 nn_linear(12, 2),
 nn_softmax(dim = 2)
)
data <- torch_randn(25, 5)</pre>
ref <- torch_randn(1, 5)</pre>
# Create Converter
converter <- convert(model, input_dim = c(5))</pre>
# Apply method IntegratedGradient
int_grad <- IntegratedGradient$new(converter, data, x_ref = ref)</pre>
# You can also use the helper function `run_intgrad` for initializing
# an R6 IntegratedGradient object
int_grad <- run_intgrad(converter, data, x_ref = ref)</pre>
# Print the result as a torch tensor for first two data points
get_result(int_grad, "torch.tensor")[1:2]
# Plot the result for both classes
plot(int_grad, output_idx = 1:2)
# Plot the boxplot of all datapoints and for both classes
boxplot(int_grad, output_idx = 1:2)
# ------ Example 2: Neuralnet -----
if (require("neuralnet")) {
 library(neuralnet)
 data(iris)
 # Train a neural network
 nn <- neuralnet((Species == "setosa") ~ Petal.Length + Petal.Width,</pre>
   iris,
   linear.output = FALSE,
   hidden = c(3, 2), act.fct = "tanh", rep = 1
 )
 # Convert the model
 converter <- convert(nn)</pre>
 # Apply IntegratedGradient with a reference input of the feature means
 x_ref <- matrix(colMeans(iris[, c(3, 4)]), nrow = 1)</pre>
 int_grad <- run_intgrad(converter, iris[, c(3, 4)], x_ref = x_ref)</pre>
 # Get the result as a dataframe and show first 5 rows
 get_result(int_grad, type = "data.frame")[1:5, ]
 # Plot the result for the first datapoint in the data
 plot(int_grad, data_idx = 1)
```

```
# Plot the result as boxplots
 boxplot(int_grad)
}
# ----- Example 3: Keras -----
if (require("keras") & keras::is_keras_available()) {
 library(keras)
 # Make sure keras is installed properly
 is_keras_available()
 data <- array(rnorm(10 * 32 * 32 * 3), dim = c(10, 32, 32, 3))
 model <- keras_model_sequential()</pre>
 model %>%
   layer_conv_2d(
     input_shape = c(32, 32, 3), kernel_size = 8, filters = 8,
     activation = "softplus", padding = "valid") %>%
   layer_conv_2d(
     kernel_size = 8, filters = 4, activation = "tanh",
     padding = "same") %>%
   layer_conv_2d(
     kernel_size = 4, filters = 2, activation = "relu",
     padding = "valid") %>%
   layer_flatten() %>%
   layer_dense(units = 64, activation = "relu") %>%
   layer_dense(units = 2, activation = "softmax")
 # Convert the model
 converter <- convert(model)</pre>
 # Apply the IntegratedGradient method with a zero baseline and n = 20
 # iteration steps
 int_grad <- run_intgrad(converter, data,</pre>
   channels_first = FALSE,
   n = 20
 )
 # Plot the result for the first image and both classes
 plot(int_grad, output_idx = 1:2)
 # Plot the pixel-wise median of the results
 plot_global(int_grad, output_idx = 1)
}
#----- Plotly plots -----
if (require("plotly")) {
 # You can also create an interactive plot with plotly.
 # This is a suggested package, so make sure that it is installed
 library(plotly)
 boxplot(int_grad, as_plotly = TRUE)
```

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}

get\_result

## Get the result of an interpretation method

### Description

This is a generic S3 method for the R6 method InterpretingMethod\$get\_result(). See the respective method described in InterpretingMethod for details.

# Usage

get\_result(x, ...)

# Arguments

x	An object of the class InterpretingMethod including the subclasses Gradient, SmoothGrad, LRP, DeepLift, DeepSHAP, IntegratedGradient, ExpectedGradient and ConnectionWeights.
	Other arguments specified in the R6 method InterpretingMethod\$get_result(). See InterpretingMethod for details.

Input	
-------	--

# Description

This method computes the gradients (also known as *Vanilla Gradients*) of the outputs with respect to the input variables, i.e., for all input variable i and output class j

 $df(x)_i/dx_i$ .

If the argument times\_input is TRUE, the gradients are multiplied by the respective input value ( $Gradient \times Input$ ), i.e.,

 $x_i * df(x)_j / dx_i.$ 

While the vanilla gradients emphasize prediction-sensitive features, Gradient×Input is a decomposition of the output into feature-wise effects based on the first-order Taylor decomposition.

The R6 class can also be initialized using the run\_grad function as a helper function so that no prior knowledge of R6 classes is required.

## Super classes

innsight::InterpretingMethod -> innsight::GradientBased -> Gradient

# Methods

**Public methods:** 

- Gradient\$new()
- Gradient\$clone()

**Method** new(): Create a new instance of the Gradient R6 class. When initialized, the method *Gradient* or *Gradient*  $\times$ *Input* is applied to the given data and the results are stored in the field result.

```
Usage:
Gradient$new(
   converter,
   data,
   channels_first = TRUE,
   output_idx = NULL,
   output_label = NULL,
   ignore_last_act = TRUE,
   times_input = FALSE,
   verbose = interactive(),
   dtype = "float"
)
```

Arguments:

converter (Converter)

An instance of the Converter class that includes the torch-converted model and some other model-specific attributes. See Converter for details.

### data (array, data.frame, torch\_tensor or list)

The data to which the method is to be applied. These must have the same format as the input data of the passed model to the converter object. This means either

- an array, data.frame, torch\_tensor or array-like format of size (*batch\_size, dim\_in*), if e.g., the model has only one input layer, or
- a list with the corresponding input data (according to the upper point) for each of the input layers.

channels\_first (logical(1))

The channel position of the given data (argument data). If TRUE, the channel axis is placed at the second position between the batch size and the rest of the input axes, e.g., c(10,3,32,32) for a batch of ten images with three channels and a height and width of 32 pixels. Otherwise (FALSE), the channel axis is at the last position, i.e., c(10,32,32,3). If the data has no channel axis, use the default value TRUE.

output\_idx (integer, list or NULL)

These indices specify the output nodes for which the method is to be applied. In order to allow models with multiple output layers, there are the following possibilities to select the indices of the output nodes in the individual output layers:

• An integer vector of indices: If the model has only one output layer, the values correspond to the indices of the output nodes, e.g., c(1,3,4) for the first, third and fourth

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output node. If there are multiple output layers, the indices of the output nodes from the first output layer are considered.

- A list of integer vectors of indices: If the method is to be applied to output nodes from different layers, a list can be passed that specifies the desired indices of the output nodes for each output layer. Unwanted output layers have the entry NULL instead of a vector of indices, e.g., list(NULL, c(1,3)) for the first and third output node in the second output layer.
- NULL (default): The method is applied to all output nodes in the first output layer but is limited to the first ten as the calculations become more computationally expensive for more output nodes.

## output\_label (character, factor, list or NULL)

These values specify the output nodes for which the method is to be applied. Only values that were previously passed with the argument output\_names in the converter can be used. In order to allow models with multiple output layers, there are the following possibilities to select the names of the output nodes in the individual output layers:

- A character vector or factor of labels: If the model has only one output layer, the values correspond to the labels of the output nodes named in the passed Converter object, e.g., c("a", "c", "d") for the first, third and fourth output node if the output names are c("a", "b", "c", "d"). If there are multiple output layers, the names of the output nodes from the first output layer are considered.
- A list of charactor/factor vectors of labels: If the method is to be applied to output nodes from different layers, a list can be passed that specifies the desired labels of the output nodes for each output layer. Unwanted output layers have the entry NULL instead of a vector of labels, e.g., list(NULL, c("a", "c")) for the first and third output node in the second output layer.
- NULL (default): The method is applied to all output nodes in the first output layer but is limited to the first ten as the calculations become more computationally expensive for more output nodes.

### ignore\_last\_act (logical(1))

Set this logical value to include the last activation functions for each output layer, or not (default: TRUE). In practice, the last activation (especially for softmax activation) is often omitted.

#### times\_input (logical(1))

Multiplies the gradients with the input features. This method is called *Gradient×Input*.

# verbose (logical(1))

This logical argument determines whether a progress bar is displayed for the calculation of the method or not. The default value is the output of the primitive R function interactive().

#### dtype (character(1))

The data type for the calculations. Use either 'float' for torch\_float or 'double' for torch\_double.

Method clone(): The objects of this class are cloneable with this method.

Gradient

Usage: Gradient\$clone(deep = FALSE) Arguments: deep Whether to make a deep clone.

# See Also

Other methods: ConnectionWeights, DeepLift, DeepSHAP, ExpectedGradient, IntegratedGradient, LIME, LRP, SHAP, SmoothGrad

# Examples

```
#----- Example 1: Torch ------
library(torch)
# Create nn_sequential model and data
model <- nn_sequential(</pre>
 nn_linear(5, 12),
 nn_relu(),
 nn_linear(12, 2),
 nn_softmax(dim = 2)
)
data <- torch_randn(25, 5)</pre>
# Create Converter with input and output names
converter <- convert(model,</pre>
 input_dim = c(5),
 input_names = list(c("Car", "Cat", "Dog", "Plane", "Horse")),
 output_names = list(c("Buy it!", "Don't buy it!"))
)
# Calculate the Gradients
grad <- Gradient$new(converter, data)</pre>
# You can also use the helper function `run_grad` for initializing
# an R6 Gradient object
grad <- run_grad(converter, data)</pre>
# Print the result as a data.frame for first 5 rows
get_result(grad, "data.frame")[1:5,]
# Plot the result for both classes
plot(grad, output_idx = 1:2)
# Plot the boxplot of all datapoints
boxplot(grad, output_idx = 1:2)
# ------ Example 2: Neuralnet -----
if (require("neuralnet")) {
 library(neuralnet)
 data(iris)
```

# Gradient

```
# Train a neural network
 nn <- neuralnet(Species ~ ., iris,</pre>
   linear.output = FALSE,
   hidden = c(10, 5),
   act.fct = "logistic",
   rep = 1
 )
 # Convert the trained model
 converter <- convert(nn)</pre>
 # Calculate the gradients
 gradient <- run_grad(converter, iris[, -5])</pre>
 # Plot the result for the first and 60th data point and all classes
 plot(gradient, data_idx = c(1, 60), output_idx = 1:3)
 # Calculate Gradients x Input and do not ignore the last activation
 gradient <- run_grad(converter, iris[, -5],</pre>
                      ignore_last_act = FALSE,
                      times_input = TRUE)
 # Plot the result again
 plot(gradient, data_idx = c(1, 60), output_idx = 1:3)
}
# ----- Example 3: Keras -----
if (require("keras") & keras::is_keras_available()) {
 library(keras)
 # Make sure keras is installed properly
 is_keras_available()
 data <- array(rnorm(64 * 60 * 3), dim = c(64, 60, 3))
 model <- keras_model_sequential()</pre>
 model %>%
   layer_conv_1d(
      input_shape = c(60, 3), kernel_size = 8, filters = 8,
      activation = "softplus", padding = "valid") %>%
    layer_conv_1d(
     kernel_size = 8, filters = 4, activation = "tanh",
     padding = "same") %>%
    layer_conv_1d(
     kernel_size = 4, filters = 2, activation = "relu",
     padding = "valid") %>%
    layer_flatten() %>%
   layer_dense(units = 64, activation = "relu") %>%
    layer_dense(units = 16, activation = "relu") %>%
    layer_dense(units = 3, activation = "softmax")
```

# Convert the model

```
converter <- convert(model)</pre>
 # Apply the Gradient method
 gradient <- run_grad(converter, data, channels_first = FALSE)</pre>
 # Plot the result for the first datapoint and all classes
 plot(gradient, output_idx = 1:3)
 # Plot the result as boxplots for first two classes
 boxplot(gradient, output_idx = 1:2)
}
        ----- Plotly plots -----
#----
if (require("plotly")) {
 # You can also create an interactive plot with plotly.
 # This is a suggested package, so make sure that it is installed
 library(plotly)
 # Result as boxplots
 boxplot(gradient, as_plotly = TRUE)
 # Result of the second data point
 plot(gradient, data_idx = 2, as_plotly = TRUE)
}
```

GradientBased Super class for gradient-based interpretation methods

# Description

Super class for gradient-based interpretation methods. This class inherits from InterpretingMethod. It summarizes all implemented gradient-based methods and provides a private function to calculate the gradients w.r.t. to the input for given data. Implemented are:

- Vanilla Gradients and Gradient×Input (Gradient)
- Integrated Gradients (IntegratedGradient)
- SmoothGrad and SmoothGrad × Input (SmoothGrad)
- *ExpectedGradients* (ExpectedGradient)

# Super class

innsight::InterpretingMethod -> GradientBased

# **Public fields**

```
times_input (logical(1))
```

This logical value indicates whether the results were multiplied by the provided input data or not.

# GradientBased

# Methods

### **Public methods:**

- GradientBased\$new()
- GradientBased\$clone()

**Method** new(): Create a new instance of this class. When initialized, the method is applied to the given data and the results are stored in the field result.

```
Usage:
GradientBased$new(
    converter,
    data,
    channels_first = TRUE,
    output_idx = NULL,
    output_label = NULL,
    ignore_last_act = TRUE,
    times_input = TRUE,
    verbose = interactive(),
    dtype = "float"
)
Arguments:
```

```
converter (Converter)
```

An instance of the Converter class that includes the torch-converted model and some other model-specific attributes. See Converter for details.

### data (array, data.frame, torch\_tensor or list)

The data to which the method is to be applied. These must have the same format as the input data of the passed model to the converter object. This means either

- an array, data.frame, torch\_tensor or array-like format of size (*batch\_size, dim\_in*), if e.g., the model has only one input layer, or
- a list with the corresponding input data (according to the upper point) for each of the input layers.

### channels\_first (logical(1))

The channel position of the given data (argument data). If TRUE, the channel axis is placed at the second position between the batch size and the rest of the input axes, e.g., c(10,3,32,32) for a batch of ten images with three channels and a height and width of 32 pixels. Otherwise (FALSE), the channel axis is at the last position, i.e., c(10,32,32,3). If the data has no channel axis, use the default value TRUE.

output\_idx (integer, list or NULL)

These indices specify the output nodes for which the method is to be applied. In order to allow models with multiple output layers, there are the following possibilities to select the indices of the output nodes in the individual output layers:

• An integer vector of indices: If the model has only one output layer, the values correspond to the indices of the output nodes, e.g., c(1,3,4) for the first, third and fourth output node. If there are multiple output layers, the indices of the output nodes from the first output layer are considered.

- A list of integer vectors of indices: If the method is to be applied to output nodes from different layers, a list can be passed that specifies the desired indices of the output nodes for each output layer. Unwanted output layers have the entry NULL instead of a vector of indices, e.g., list(NULL, c(1,3)) for the first and third output node in the second output layer.
- NULL (default): The method is applied to all output nodes in the first output layer but is limited to the first ten as the calculations become more computationally expensive for more output nodes.

### output\_label (character, factor, list or NULL)

These values specify the output nodes for which the method is to be applied. Only values that were previously passed with the argument output\_names in the converter can be used. In order to allow models with multiple output layers, there are the following possibilities to select the names of the output nodes in the individual output layers:

- A character vector or factor of labels: If the model has only one output layer, the values correspond to the labels of the output nodes named in the passed Converter object, e.g., c("a", "c", "d") for the first, third and fourth output node if the output names are c("a", "b", "c", "d"). If there are multiple output layers, the names of the output nodes from the first output layer are considered.
- A list of charactor/factor vectors of labels: If the method is to be applied to output nodes from different layers, a list can be passed that specifies the desired labels of the output nodes for each output layer. Unwanted output layers have the entry NULL instead of a vector of labels, e.g., list(NULL, c("a", "c")) for the first and third output node in the second output layer.
- NULL (default): The method is applied to all output nodes in the first output layer but is limited to the first ten as the calculations become more computationally expensive for more output nodes.

### ignore\_last\_act (logical(1))

Set this logical value to include the last activation functions for each output layer, or not (default: TRUE). In practice, the last activation (especially for softmax activation) is often omitted.

#### times\_input (logical(1)

Multiplies the gradients with the input features. This method is called *Gradient×Input*.

# verbose (logical(1))

This logical argument determines whether a progress bar is displayed for the calculation of the method or not. The default value is the output of the primitive R function interactive().

#### dtype (character(1))

The data type for the calculations. Use either 'float' for torch\_float or 'double' for torch\_double.

Method clone(): The objects of this class are cloneable with this method.

Usage:

GradientBased\$clone(deep = FALSE)
Arguments:
deep Whether to make a deep clone.

innsight\_ggplot2 S4 class for ggplot2-based plots

## Description

The S4 class innsight\_ggplot2 visualizes the results of the methods provided from the package innsight using ggplot2. In addition, it allows easier analysis of the results and modification of the visualization by basic generic functions. The individual slots are for internal use only and should not be modified.

# Details

This S4 class is a simple extension of a ggplot2 object that enables a more detailed analysis of the results and a way to visualize the results of models with multiple input layers (e.g., images and tabular data). The distinction between one and multiple input layers decides the behavior of this class, and this information is stored in the slot multiplot.

### **One input layer** (multiplot = FALSE):

If the model passed to a method from the innsight package has only one input layer, the S4 class innsight\_ggplot2 is just a wrapper of a single ggplot2 object. This object is stored as a 1x1 matrix in the slot grobs and the slots output\_strips and col\_dims contain only empty lists because no second line of stripes describing the input layer is needed. Although it is an object of the class innsight\_ggplot2, the generic function +.innsight\_ggplot2 provides a ggplot2-typical usage to modify the representation. The graphical objects are simply forwarded to the ggplot2 object in grobs and added using ggplot2::+.gg. In addition, some generic functions are implemented to visualize or examine individual aspects of the overall plot in more detail. All available generic functions are listed below:

- +plot, print and show (all behave the same)
- [
- [[

*Note:* In this case, the generic function [<- is not implemented because there is only one ggplot2 object and not multiple ones.

# Multiple input layers (multiplot = TRUE):

If the passed model has multiple input layers, a ggplot2 object is created for each data point, input layer and output node and then stored as a matrix in the slot grobs. During visualization, these are combined using the function gridExtra::arrangeGrob and corresponding strips for the output layer/node names are added at the top. The labels, column indices and theme for the extra row of strips are stored in the slots output\_strips and col\_dims. The strips for the input layer and the data points (if not boxplot) are created using ggplot2::facet\_grid in the individual ggplot2 objects of the grob matrix. An example structure is shown below:

Output 1: Node 1 Output 1: Node 3 Input 1 | Input 2 | Input 1 | Input 2 T grobs[1,1] | grobs[1,2] | grobs[1,3] | grobs[1,4] | data point 1 1 grobs[2,1] | grobs[2,2] | grobs[2,3] | grobs[2,4] | data point 2 T 1

Similar to the other case, generic functions are implemented to add graphical objects from ggplot2, create the whole plot or select only specific rows/columns. The difference, however, is that each entry in each row and column is a separate ggplot2 object and can be modified individually. For example, adds + ggplot2::xlab("X") the x-axis label "X" to all objects and not only to those in the last row. The generic function [<- allows you to replace a selection of objects in grobs and thus, for example, to change the x-axis title only in the bottom row. All available generic functions are listed below:

- +
- plot, print and show (all behave the same)
- [
- [[
- [<-
- [[<-

*Note:* Since this is not a standard visualization, the suggested packages 'grid', 'gridExtra' and 'gtable' must be installed.

# Slots

grobs The individual ggplot2 objects arranged as a matrix (see details for more information)

- multiplot A logical value indicating whether there are multiple input layers and therefore correspondingly individual ggplot2 objects instead of one single object.
- output\_strips A list containing the labels and themes of the strips for the output nodes. This slot is only relevant if multiplot is TRUE.
- col\_dims A list of the length of output\_strips assigning to each strip the column index of grobs of the associated strip.
- boxplot A logical value indicating whether the result of individual data points or a boxplot over multiple instances is displayed.

innsight\_plotly S4 class for plotly-based plots

# Description

The S4 class innsight\_plotly visualizes the results of the methods provided from the package innsight using plotly. In addition, it allows easier analysis of the results and modification of the visualization by basic generic functions. The individual slots are for internal use only and should not be modified.

### Details

This S4 class is a simple extension of a plotly object that enables a more detailed analysis of the results and a way to visualize the results of models with multiple input layers (e.g., images and tabular data).

The overall plot is created in the following order:

- 1. The corresponding shapes and annotations of the slots annotations and shapes are added to each plot in plots. This also adds the strips at the top for the output node (or input layer) and, if necessary, on the right side for the data point.
- 2. Subsequently, all individual plots are combined into one plot with the help of the function plotly::subplot.
- 3. Lastly, the global elements from the layout slot are added and if there are multiple input layers (multiplot = TRUE), another output strip is added for the columns.

An example structure of the plot with multiple input layers is shown below:

	Output Input 1		Node 1 Input 2	 	•		Node 3 Input 2	   
	plots[1,1]	   	plots[1,2]	   	plots[1,3]	   	plots[1,4]	     data point 1 
   	plots[2,1]	   	plots[2,2]	   	plots[2,3]	   	plots[2,4]	     data point 2 

Additionally, some generic functions are implemented to visualize individual aspects of the overall plot or to examine them in more detail. All available generic functions are listed below:

- plot, print and show (all behave the same)
- [
- [[

### Slots

plots The individual plotly objects arranged as a matrix (see details for more information).

shapes A list of two lists with the names shapes\_strips and shapes\_other. The list shapes\_strips contains the shapes for the strips and may not be manipulated. The other list shapes\_other contains a matrix of the same size as plots and each entry contains the shapes of the corresponding plot.

- annotations A list of two lists with the names annotations\_strips and annotations\_other. The list annotations\_strips contains the annotations for the strips and may not be manipulated. The other list annotations\_other contains a matrix of the same size as plots and each entry contains the annotations of the corresponding plot.
- multiplot A logical value indicating whether there are multiple input layers and therefore correspondingly individual ggplot2 objects instead of one single object.
- layout This list contains all global layout options, e.g. update buttons, sliders, margins etc. (see plotly::layout for more details).
- col\_dims A list to assign a label to the columns for the output strips.

innsight\_sugar Syntactic sugar for object construction

# Description

Since all methods and the preceding conversion step in the innsight package were implemented using R6 classes and these always require a call to classname\$new() for initialization, the following functions are defined to shorten the construction of the corresponding R6 objects:

- convert() for Converter
- run\_grad() for Gradient
- run\_smoothgrad() for SmoothGrad
- run\_intgrad() for IntegratedGradient
- run\_expgrad() for ExpectedGradient
- run\_lrp() for LRP
- run\_deeplift() for DeepLift
- run\_deepshap for DeepSHAP
- run\_cw for ConnectionWeights
- run\_lime for LIME
- run\_shap for SHAP

### Usage

```
# Create a new `Converter` object of the given `model`
convert(model, ...)
```

# Apply the `Gradient` method to the passed `data` to be explained run\_grad(converter, data, ...)

# Apply the `SmoothGrad` method to the passed `data` to be explained run\_smoothgrad(converter, data, ...)

# Apply the `IntegratedGradient` method to the passed `data` to be explained

# innsight\_sugar

```
run_intgrad(converter, data, ...)
# Apply the `ExpectedGradient` method to the passed `data` to be explained
run_expgrad(converter, data, ...)
# Apply the `LRP` method to the passed `data` to be explained
run_lrp(converter, data, ...)
# Apply the `DeepLift` method to the passed `data` to be explained
run_deeplift(converter, data, ...)
# Apply the `DeepSHAP` method to the passed `data` to be explained
run_deepshap(converter, data, ...)
# Apply the `ConnectionWeights` method (argument `data` is not always required)
run_cw(converter, ...)
# Apply the `LIME` method to explain `data` by using the dataset `data_ref`
run_lime(model, data, data_ref, ...)
# Apply the `SHAP` method to explain `data` by using the dataset `data_ref`
run_shap(model, data, data_ref, ...)
```

## Arguments

model	<pre>(nn_sequential, keras_model, neuralnet or list) A trained neural network for classification or regression tasks to be interpreted. Only models from the following types or packages are allowed: nn_sequential, keras_model, keras_model_sequential, neuralnet or a named list (see de- tails).</pre>
	<b>Note:</b> For the model-agnostic methods, an arbitrary fitted model for a classification or regression task can be passed. A Converter object can also be passed. In order for the package to know how to make predictions with the given model, a prediction function must also be passed with the argument pred_fun. However, for models created by nn_sequential, keras_model, neuralnet or Converter, these have already been pre-implemented and do not need to be specified.
	Other arguments passed to the individual constructor functions of the methods R6 classes.
converter	(Converter) An instance of the Converter class that includes the torch-converted model and some other model-specific attributes. See Converter for details.
data	(array, data.frame, torch_tensor or list) The data to which the method is to be applied. These must have the same format as the input data of the passed model to the converter object. This means either

	<ul> <li>an array, data.frame, torch_tensor or array-like format of size (<i>batch_size</i>, <i>dim_in</i>), if e.g., the model has only one input layer, or</li> <li>a list with the corresponding input data (according to the upper point) for each of the input layers.</li> </ul>
	<b>Note:</b> For the model-agnostic methods, only models with a single input and output layer is allowed!
data_ref	<pre>(array, data.frame or torch_tensor) The dataset to which the method is to be applied. These must have the same format as the input data of the passed model and has to be either matrix, an array, a data.frame or a torch_tensor. Note: For the model-agnostic methods, only models with a single input and out- put layer is allowed!</pre>

# Value

R6::R6Class object of the respective type.

IntegratedGradient Integrated Gradients

# Description

The IntegratedGradient class implements the method Integrated Gradients (Sundararajan et al., 2017), which incorporates a reference value x' (also known as baseline value) analogous to the DeepLift method. Integrated Gradients helps to uncover the relative importance of input features in the predictions y = f(x) made by a model compared to the prediction of the reference value y' = f(x'). This is achieved through the following formula:

$$(x - x') \times \int_{\alpha=0}^{1} \frac{\partial f(x' + \alpha(x - x'))}{\partial x} d\alpha$$

In simpler terms, it calculates how much each feature contributes to a model's output by tracing a path from a baseline input x' to the actual input x and measuring the average gradients along that path.

Similar to the other gradient-based methods, by default the integrated gradient is multiplied by the input to get an approximate decomposition of y - y'. However, with the parameter times\_input only the gradient describing the output sensitivity can be returned.

The R6 class can also be initialized using the run\_intgrad function as a helper function so that no prior knowledge of R6 classes is required.

# Super classes

innsight::InterpretingMethod -> innsight::GradientBased -> IntegratedGradient

## **IntegratedGradient**

# **Public fields**

n (integer(1))

Number of steps for the approximation of the integration path along  $\alpha$  (default: 50).

x\_ref (list)

The reference input for the IntegratedGradient method. This value is stored as a list of torch\_tensors of shape (1, dim\_in) for each input layer.

# Methods

**Public methods:** 

- IntegratedGradient\$new()
- IntegratedGradient\$clone()

**Method** new(): Create a new instance of the IntegratedGradient R6 class. When initialized, the method *Integrated Gradient* is applied to the given data and baseline value and the results are stored in the field result.

# Usage:

```
IntegratedGradient$new(
   converter,
   data,
   x_ref = NULL,
   n = 50,
   times_input = TRUE,
   channels_first = TRUE,
   output_idx = NULL,
   output_label = NULL,
   ignore_last_act = TRUE,
   verbose = interactive(),
   dtype = "float"
)
```

Arguments:

```
converter (Converter)
```

An instance of the Converter class that includes the torch-converted model and some other model-specific attributes. See Converter for details.

data (array, data.frame, torch\_tensor or list)

The data to which the method is to be applied. These must have the same format as the input data of the passed model to the converter object. This means either

- an array, data.frame, torch\_tensor or array-like format of size (*batch\_size, dim\_in*), if e.g., the model has only one input layer, or
- a list with the corresponding input data (according to the upper point) for each of the input layers.

### x\_ref (array, data.frame, torch\_tensor or list)

The reference input for the IntegratedGradient method. This value must have the same format as the input data of the passed model to the converter object. This means either

- an array, data.frame, torch\_tensor or array-like format of size (1, dim\_in), if e.g., the model has only one input layer, or
- a list with the corresponding input data (according to the upper point) for each of the input layers.
- It is also possible to use the default value NULL to take only zeros as reference input.
- n (integer(1))

Number of steps for the approximation of the integration path along  $\alpha$  (default: 50).

### times\_input (logical(1)

Multiplies the integrated gradients with the difference of the input features and the baseline values. By default, the original definition of IntegratedGradient is applied. However, by setting times\_input = FALSE only an approximation of the integral is calculated, which describes the sensitivity of the features to the output.

# channels\_first (logical(1))

The channel position of the given data (argument data). If TRUE, the channel axis is placed at the second position between the batch size and the rest of the input axes, e.g., c(10,3,32,32) for a batch of ten images with three channels and a height and width of 32 pixels. Otherwise (FALSE), the channel axis is at the last position, i.e., c(10,32,32,3). If the data has no channel axis, use the default value TRUE.

# output\_idx (integer, list or NULL)

These indices specify the output nodes for which the method is to be applied. In order to allow models with multiple output layers, there are the following possibilities to select the indices of the output nodes in the individual output layers:

- An integer vector of indices: If the model has only one output layer, the values correspond to the indices of the output nodes, e.g., c(1,3,4) for the first, third and fourth output node. If there are multiple output layers, the indices of the output nodes from the first output layer are considered.
- A list of integer vectors of indices: If the method is to be applied to output nodes from different layers, a list can be passed that specifies the desired indices of the output nodes for each output layer. Unwanted output layers have the entry NULL instead of a vector of indices, e.g., list(NULL, c(1,3)) for the first and third output node in the second output layer.
- NULL (default): The method is applied to all output nodes in the first output layer but is limited to the first ten as the calculations become more computationally expensive for more output nodes.

# output\_label (character, factor, list or NULL)

These values specify the output nodes for which the method is to be applied. Only values that were previously passed with the argument output\_names in the converter can be used. In order to allow models with multiple output layers, there are the following possibilities to select the names of the output nodes in the individual output layers:

### **IntegratedGradient**

- A character vector or factor of labels: If the model has only one output layer, the values correspond to the labels of the output nodes named in the passed Converter object, e.g., c("a", "c", "d") for the first, third and fourth output node if the output names are c("a", "b", "c", "d"). If there are multiple output layers, the names of the output nodes from the first output layer are considered.
- A list of charactor/factor vectors of labels: If the method is to be applied to output nodes from different layers, a list can be passed that specifies the desired labels of the output nodes for each output layer. Unwanted output layers have the entry NULL instead of a vector of labels, e.g., list(NULL, c("a", "c")) for the first and third output node in the second output layer.
- NULL (default): The method is applied to all output nodes in the first output layer but is limited to the first ten as the calculations become more computationally expensive for more output nodes.

### ignore\_last\_act (logical(1))

Set this logical value to include the last activation functions for each output layer, or not (default: TRUE). In practice, the last activation (especially for softmax activation) is often omitted.

#### verbose (logical(1))

This logical argument determines whether a progress bar is displayed for the calculation of the method or not. The default value is the output of the primitive R function interactive().

#### dtype (character(1))

The data type for the calculations. Use either 'float' for torch\_float or 'double' for torch\_double.

Method clone(): The objects of this class are cloneable with this method.

Usage:

IntegratedGradient\$clone(deep = FALSE)

Arguments:

deep Whether to make a deep clone.

# References

M. Sundararajan et al. (2017) Axiomatic attribution for deep networks. ICML 2017, PMLR 70, pp. 3319-3328.

# See Also

Other methods: ConnectionWeights, DeepLift, DeepSHAP, ExpectedGradient, Gradient, LIME, LRP, SHAP, SmoothGrad

### Examples

```
#----- Example 1: Torch ------ Library(torch)
```

```
# Create nn_sequential model and data
model <- nn_sequential(</pre>
  nn_linear(5, 12),
  nn_relu(),
  nn_linear(12, 2),
  nn_softmax(dim = 2)
)
data <- torch_randn(25, 5)</pre>
ref <- torch_randn(1, 5)</pre>
# Create Converter
converter <- convert(model, input_dim = c(5))</pre>
# Apply method IntegratedGradient
int_grad <- IntegratedGradient$new(converter, data, x_ref = ref)</pre>
# You can also use the helper function `run_intgrad` for initializing
# an R6 IntegratedGradient object
int_grad <- run_intgrad(converter, data, x_ref = ref)</pre>
# Print the result as a torch tensor for first two data points
get_result(int_grad, "torch.tensor")[1:2]
# Plot the result for both classes
plot(int_grad, output_idx = 1:2)
# Plot the boxplot of all datapoints and for both classes
boxplot(int_grad, output_idx = 1:2)
# ------ Example 2: Neuralnet -----
if (require("neuralnet")) {
  library(neuralnet)
  data(iris)
  # Train a neural network
  nn <- neuralnet((Species == "setosa") ~ Petal.Length + Petal.Width,</pre>
   iris,
   linear.output = FALSE,
   hidden = c(3, 2), act.fct = "tanh", rep = 1
  )
  # Convert the model
  converter <- convert(nn)</pre>
  # Apply IntegratedGradient with a reference input of the feature means
  x_ref <- matrix(colMeans(iris[, c(3, 4)]), nrow = 1)</pre>
  int_grad <- run_intgrad(converter, iris[, c(3, 4)], x_ref = x_ref)</pre>
  # Get the result as a dataframe and show first 5 rows
  get_result(int_grad, type = "data.frame")[1:5, ]
  # Plot the result for the first datapoint in the data
```

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```
plot(int_grad, data_idx = 1)
 # Plot the result as boxplots
 boxplot(int_grad)
}
# ----- Example 3: Keras ------
if (require("keras") & keras::is_keras_available()) {
 library(keras)
 # Make sure keras is installed properly
 is_keras_available()
 data <- array(rnorm(10 * 32 * 32 * 3), dim = c(10, 32, 32, 3))
 model <- keras_model_sequential()</pre>
 model %>%
   layer_conv_2d(
     input_shape = c(32, 32, 3), kernel_size = 8, filters = 8,
     activation = "softplus", padding = "valid") %>%
   layer_conv_2d(
     kernel_size = 8, filters = 4, activation = "tanh",
     padding = "same") %>%
   layer_conv_2d(
     kernel_size = 4, filters = 2, activation = "relu",
     padding = "valid") %>%
   layer_flatten() %>%
   layer_dense(units = 64, activation = "relu") %>%
   layer_dense(units = 2, activation = "softmax")
 # Convert the model
 converter <- convert(model)</pre>
 # Apply the IntegratedGradient method with a zero baseline and n = 20
 # iteration steps
 int_grad <- run_intgrad(converter, data,</pre>
   channels_first = FALSE,
   n = 20
 )
 # Plot the result for the first image and both classes
 plot(int_grad, output_idx = 1:2)
 # Plot the pixel-wise median of the results
 plot_global(int_grad, output_idx = 1)
}
#----- Plotly plots -----
if (require("plotly")) {
 # You can also create an interactive plot with plotly.
 # This is a suggested package, so make sure that it is installed
```

```
library(plotly)
boxplot(int_grad, as_plotly = TRUE)
}
```

InterpretingMethod Super class for interpreting methods

# Description

This is a super class for all interpreting methods in the innsight package. Implemented are the following methods:

- Deep Learning Important Features (DeepLift)
- Deep Shapley additive explanations (DeepSHAP)
- Layer-wise Relevance Propagation (LRP)
- Gradient-based methods:
  - Vanilla gradients including Gradient×Input (Gradient)
  - Smoothed gradients including SmoothGrad×Input (SmoothGrad)
  - Integrated gradients (IntegratedGradient)
  - Expected gradients (ExpectedGradient)
- Connection Weights (global and local) (ConnectionWeights)
- Also some model-agnostic approaches:
  - Local interpretable model-agnostic explanations (LIME)
  - Shapley values (SHAP)

# **Public fields**

data (list)

The passed data as a list of torch\_tensors in the selected data format (field dtype) matching the corresponding shapes of the individual input layers. Besides, the channel axis is moved to the second position after the batch size because internally only the format *channels first* is used.

# converter (Converter)

An instance of the Converter class that includes the torch-converted model and some other model-specific attributes. See Converter for details.

channels\_first (logical(1))

The channel position of the given data. If TRUE, the channel axis is placed at the second position between the batch size and the rest of the input axes, e.g., c(10, 3, 32, 32) for a batch of ten images with three channels and a height and width of 32 pixels. Otherwise (FALSE), the channel axis is at the last position, i.e., c(10, 32, 32, 3). This is especially important for layers like flatten, where the order is crucial and therefore the channels have to be moved from the internal format "channels first" back to the original format before the layer is calculated.

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```
dtype (character(1))
```

The data type for the calculations. Either 'float' for torch\_float or 'double' for torch\_double.

### ignore\_last\_act (logical(1))

A logical value to include the last activation functions into all the calculations, or not.

### result (list)

The results of the method on the passed data. A unified list structure is used regardless of the complexity of the model: The outer list contains the individual output layers and the inner list the input layers. The results for the respective output and input layer are then stored there as torch tensors in the given data format (field dtype). In addition, the channel axis is moved to its original place and the last axis contains the selected output nodes for the individual output layers (see output\_idx).

For example, the structure of the result for two output layers (output node 1 for the first and 2 and 4 for the second) and two input layers with channels\_first = FALSE looks like this:

### List of 2 # both output layers

```
$ :List of 2 # both input layers
..$ : torch_tensor [batch_size, dim_in_1, channel_axis, 1]
..$ : torch_tensor [batch_size, dim_in_2, channel_axis, 1]
$ :List of 2 # both input layers
..$ : torch_tensor [batch_size, dim_in_1, channel_axis, 2]
..$ : torch_tensor [batch_size, dim_in_2, channel_axis, 2]
```

### output\_idx (list)

This list of indices specifies the output nodes to which the method is to be applied. In the order of the output layers, the list contains the respective output nodes indices and unwanted output layers have the entry NULL instead of a vector of indices, e.g., list(NULL, c(1,3)) for the first and third output node in the second output layer.

### output\_label (list)

This list of factors specifies the output nodes to which the method is to be applied. In the order of the output layers, the list contains the respective output nodes labels and unwanted output layers have the entry NULL instead of a vector of labels, e.g., list(NULL, c("a", "c")) for the first and third output node in the second output layer.

### verbose (logical(1))

This logical value determines whether a progress bar is displayed for the calculation of the method or not. The default value is the output of the primitive R function interactive().

#### winner\_takes\_all (logical(1))

This logical value is only relevant for models with a MaxPooling layer. Since many zeros are produced during the backward pass due to the selection of the maximum value in the pooling kernel, another variant is implemented, which treats a MaxPooling as an AveragePooling layer in the backward pass to overcome the problem of too many zero relevances. With the default value TRUE, the whole upper-layer relevance is passed to the maximum value in each pooling window. Otherwise, if FALSE, the relevance is distributed equally among all nodes in a pooling window.

### preds (list)

In this field, all calculated predictions are stored as a list of torch\_tensors. Each output layer has its own list entry and contains the respective predicted values.

```
decomp_goal (list)
```

In this field, the method-specific decomposition objectives are stored as a list of torch\_tensors for each output layer. For example, GradientxInput and LRP attempt to decompose the prediction into feature-wise additive effects. DeepLift and IntegratedGradient decompose the difference between f(x) and f(x'). On the other hand, DeepSHAP and ExpectedGradient aim to decompose f(x) minus the averaged prediction across the reference values.

### Methods

# **Public methods:**

- InterpretingMethod\$new()
- InterpretingMethod\$get\_result()
- InterpretingMethod\$plot()
- InterpretingMethod\$plot\_global()
- InterpretingMethod\$print()
- InterpretingMethod\$clone()

Method new(): Create a new instance of this super class.

```
Usage:
InterpretingMethod$new(
    converter,
    data,
    channels_first = TRUE,
    output_idx = NULL,
    output_label = NULL,
    ignore_last_act = TRUE,
    winner_takes_all = TRUE,
    verbose = interactive(),
    dtype = "float"
```

Arguments:

converter (Converter)

An instance of the Converter class that includes the torch-converted model and some other model-specific attributes. See Converter for details.

data (array, data.frame, torch\_tensor or list)

The data to which the method is to be applied. These must have the same format as the input data of the passed model to the converter object. This means either

• an array, data.frame, torch\_tensor or array-like format of size (*batch\_size, dim\_in*), if e.g., the model has only one input layer, or

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• a list with the corresponding input data (according to the upper point) for each of the input layers.

channels\_first (logical(1))

The channel position of the given data (argument data). If TRUE, the channel axis is placed at the second position between the batch size and the rest of the input axes, e.g., c(10,3,32,32) for a batch of ten images with three channels and a height and width of 32 pixels. Otherwise (FALSE), the channel axis is at the last position, i.e., c(10,32,32,3). If the data has no channel axis, use the default value TRUE.

### output\_idx (integer, list or NULL)

These indices specify the output nodes for which the method is to be applied. In order to allow models with multiple output layers, there are the following possibilities to select the indices of the output nodes in the individual output layers:

- An integer vector of indices: If the model has only one output layer, the values correspond to the indices of the output nodes, e.g. c(1,3,4) for the first, third and fourth output node. If there are multiple output layers, the indices of the output nodes from the first output layer are considered.
- A list of integer vectors of indices: If the method is to be applied to output nodes from different layers, a list can be passed that specifies the desired indices of the output nodes for each output layer. Unwanted output layers have the entry NULL instead of a vector of indices, e.g. list(NULL, c(1,3)) for the first and third output node in the second output layer.
- NULL (default): The method is applied to all output nodes in the first output layer but is limited to the first ten as the calculations become more computationally expensive for more output nodes.

output\_label (character, factor, list or NULL)

These values specify the output nodes for which the method is to be applied. Only values that were previously passed with the argument output\_names in the converter can be used. In order to allow models with multiple output layers, there are the following possibilities to select the names of the output nodes in the individual output layers:

- A character vector or factor of labels: If the model has only one output layer, the values correspond to the labels of the output nodes named in the passed Converter object, e.g., c("a", "c", "d") for the first, third and fourth output node if the output names are c("a", "b", "c", "d"). If there are multiple output layers, the names of the output nodes from the first output layer are considered.
- A list of charactor/factor vectors of labels: If the method is to be applied to output nodes from different layers, a list can be passed that specifies the desired labels of the output nodes for each output layer. Unwanted output layers have the entry NULL instead of a vector of labels, e.g., list(NULL, c("a", "c")) for the first and third output node in the second output layer.
- NULL (default): The method is applied to all output nodes in the first output layer but is limited to the first ten as the calculations become more computationally expensive for more output nodes.

# ignore\_last\_act (logical(1))

Set this logical value to include the last activation functions for each output layer, or not

(default: TRUE). In practice, the last activation (especially for softmax activation) is often omitted.

```
winner_takes_all (logical(1))
```

This logical argument is only relevant for models with a MaxPooling layer. Since many zeros are produced during the backward pass due to the selection of the maximum value in the pooling kernel, another variant is implemented, which treats a MaxPooling as an Average-Pooling layer in the backward pass to overcome the problem of too many zero relevances. With the default value TRUE, the whole upper-layer relevance is passed to the maximum value in each pooling window. Otherwise, if FALSE, the relevance is distributed equally among all nodes in a pooling window.

```
verbose (logical(1))
```

This logical argument determines whether a progress bar is displayed for the calculation of the method or not. The default value is the output of the primitive R function interactive().

```
dtype (character(1))
```

The data type for the calculations. Use either 'float' for torch\_float or 'double' for torch\_double.

**Method** get\_result(): This function returns the result of this method for the given data either as an array ('array'), a torch tensor ('torch.tensor', or 'torch\_tensor') of size (*batch\_size*, *dim\_in, dim\_out*) or as a data.frame ('data.frame'). This method is also implemented as a generic S3 function get\_result. For a detailed description, we refer to our in-depth vignette (vignette("detailed\_overview", package = "innsight")) or our website.

Usage:

InterpretingMethod\$get\_result(type = "array")

Arguments:

type (character(1))

The data type of the result. Use one of 'array', 'torch.tensor', 'torch\_tensor' or 'data.frame' (default: 'array').

*Returns:* The result of this method for the given data in the chosen type.

**Method** plot(): This method visualizes the result of the selected method and enables a visual in-depth investigation with the help of the S4 classes innsight\_ggplot2 and innsight\_plotly. You can use the argument data\_idx to select the data points in the given data for the plot. In addition, the individual output nodes for the plot can be selected with the argument output\_idx. The different results for the selected data points and outputs are visualized using the ggplot2-based S4 class innsight\_ggplot2. You can also use the as\_plotly argument to generate an interactive plot with innsight\_plotly based on the plot function plotly::plot\_ly. For more information and the whole bunch of possibilities, see innsight\_ggplot2 and innsight\_plotly.

### Notes:

1. For the interactive plotly-based plots, the suggested package plotly is required.

### InterpretingMethod

- 2. The ggplot2-based plots for models with multiple input layers are a bit more complex, therefore the suggested packages 'grid', 'gridExtra' and 'gtable' must be installed in your R session.
- 3. If the global *Connection Weights* method was applied, the unnecessary argument data\_idx will be ignored.
- 4. The predictions, the sum of relevances, and, if available, the decomposition target are displayed by default in a box within the plot. Currently, these are not generated for plotly plots.

Usage:

```
InterpretingMethod$plot(
   data_idx = 1,
   output_idx = NULL,
   output_label = NULL,
   aggr_channels = "sum",
   as_plotly = FALSE,
   same_scale = FALSE,
   show_preds = TRUE
)
```

#### Arguments:

data\_idx (integer)

An integer vector containing the numbers of the data points whose result is to be plotted, e.g., c(1,3) for the first and third data point in the given data. Default: 1. This argument will be ignored for the global *Connection Weights* method.

### output\_idx (integer, list or NULL)

The indices of the output nodes for which the results is to be plotted. This can be either a integer vector of indices or a list of integer vectors of indices but must be a subset of the indices for which the results were calculated, i.e., a subset of output\_idx from the initialization new() (see argument output\_idx in method new() of this R6 class for details). By default (NULL), the smallest index of all calculated output nodes and output layers is used.

### output\_label (character, factor, list or NULL)

These values specify the output nodes for which the method is to be applied. Only values that were previously passed with the argument output\_names in the converter can be used. In order to allow models with multiple output layers, there are the following possibilities to select the names of the output nodes in the individual output layers:

- A character vector or factor of labels: If the model has only one output layer, the values correspond to the labels of the output nodes named in the passed Converter object, e.g., c("a", "c", "d") for the first, third and fourth output node if the output names are c("a", "b", "c", "d"). If there are multiple output layers, the names of the output nodes from the first output layer are considered.
- A list of charactor/factor vectors of labels: If the method is to be applied to output nodes from different layers, a list can be passed that specifies the desired labels of the output nodes for each output layer. Unwanted output layers have the entry NULL instead of a vector of labels, e.g., list(NULL, c("a", "c")) for the first and third output node in the second output layer.

- NULL (default): The method is applied to all output nodes in the first output layer but is limited to the first ten as the calculations become more computationally expensive for more output nodes.
- aggr\_channels (character(1) or function)

Pass one of 'norm', 'sum', 'mean' or a custom function to aggregate the channels, e.g., the maximum (base::max) or minimum (base::min) over the channels or only individual channels with function(x) x[1]. By default ('sum'), the sum of all channels is used. *Note:* This argument is used only for 2D and 3D input data.

as\_plotly (logical(1))

This logical value (default: FALSE) can be used to create an interactive plot based on the library plotly (see innsight\_plotly for details).

Note: Make sure that the suggested package plotly is installed in your R session.

same\_scale (logical)

A logical value that specifies whether the individual plots have the same fill scale across multiple input layers or whether each is scaled individually. This argument is only used if more than one input layer results are plotted.

show\_preds (logical)

This logical value indicates whether the plots display the prediction, the sum of calculated relevances, and, if available, the targeted decomposition value. For example, in the case of GradientxInput, the goal is to obtain a decomposition of the predicted value, while for DeepLift and IntegratedGradient, the goal is the difference between the prediction and the reference value, i.e., f(x) - f(x').

*Returns:* Returns either an innsight\_ggplot2 (as\_plotly = FALSE) or an innsight\_plotly (as\_plotly = TRUE) object with the plotted individual results.

**Method** plot\_global(): This method visualizes the results of the selected method summarized as boxplots/median image and enables a visual in-depth investigation of the global behavior with the help of the S4 classes innsight\_ggplot2 and innsight\_plotly.

You can use the argument output\_idx to select the individual output nodes for the plot. For tabular and 1D data, boxplots are created in which a reference value can be selected from the data using the ref\_data\_idx argument. For images, only the pixel-wise median is visualized due to the complexity. The plot is generated using the ggplot2-based S4 class innsight\_ggplot2. You can also use the as\_plotly argument to generate an interactive plot with innsight\_plotly based on the plot function plotly::plot\_ly. For more information and the whole bunch of possibilities, see innsight\_ggplot2 and innsight\_plotly.

## Notes:

- 1. This method can only be used for the local *Connection Weights* method, i.e., if times\_input is TRUE and data is provided.
- 2. For the interactive plotly-based plots, the suggested package plotly is required.
- 3. The ggplot2-based plots for models with multiple input layers are a bit more complex, therefore the suggested packages 'grid', 'gridExtra' and 'gtable' must be installed in your R session.

## InterpretingMethod

```
Usage:
InterpretingMethod$plot_global(
  output_idx = NULL,
  output_label = NULL,
  data_idx = "all",
  ref_data_idx = NULL,
  aggr_channels = "sum",
  preprocess_FUN = abs,
  as_plotly = FALSE,
  individual_data_idx = NULL,
  individual_max = 20
)
```

Arguments:

output\_idx (integer, list or NULL)

The indices of the output nodes for which the results is to be plotted. This can be either a vector of indices or a list of vectors of indices but must be a subset of the indices for which the results were calculated, i.e., a subset of output\_idx from the initialization new() (see argument output\_idx in method new() of this R6 class for details). By default (NULL), the smallest index of all calculated output nodes and output layers is used.

## output\_label (character, factor, list or NULL)

These values specify the output nodes for which the method is to be applied. Only values that were previously passed with the argument output\_names in the converter can be used. In order to allow models with multiple output layers, there are the following possibilities to select the names of the output nodes in the individual output layers:

- A character vector or factor of labels: If the model has only one output layer, the values correspond to the labels of the output nodes named in the passed Converter object, e.g., c("a", "c", "d") for the first, third and fourth output node if the output names are c("a", "b", "c", "d"). If there are multiple output layers, the names of the output nodes from the first output layer are considered.
- A list of charactor/factor vectors of labels: If the method is to be applied to output nodes from different layers, a list can be passed that specifies the desired labels of the output nodes for each output layer. Unwanted output layers have the entry NULL instead of a vector of labels, e.g., list(NULL, c("a", "c")) for the first and third output node in the second output layer.
- NULL (default): The method is applied to all output nodes in the first output layer but is limited to the first ten as the calculations become more computationally expensive for more output nodes.

data\_idx (integer)

By default, all available data points are used to calculate the boxplot information. However, this parameter can be used to select a subset of them by passing the indices. For example, with c(1:10, 25, 26) only the first 10 data points and the 25th and 26th are used to calculate the boxplots.

# ref\_data\_idx (integer(1) or NULL)

This integer number determines the index for the reference data point. In addition to the

boxplots, it is displayed in red color and is used to compare an individual result with the summary statistics provided by the boxplot. With the default value (NULL), no individual data point is plotted. This index can be chosen with respect to all available data, even if only a subset is selected with argument data\_idx.

*Note:* Because of the complexity of 2D inputs, this argument is used only for tabular and 1D inputs and disregarded for 2D inputs.

## aggr\_channels (character(1) or function)

Pass one of 'norm', 'sum', 'mean' or a custom function to aggregate the channels, e.g., the maximum (base::max) or minimum (base::min) over the channels or only individual channels with function(x) x[1]. By default ('sum'), the sum of all channels is used. *Note:* This argument is used only for 2D and 3D input data.

### preprocess\_FUN (function)

This function is applied to the method's result before calculating the boxplots or medians. Since positive and negative values often cancel each other out, the absolute value (abs) is used by default. But you can also use the raw results (identity) to see the results' orientation, the squared data (function(x)  $x^2$ ) to weight the outliers higher or any other function.

## as\_plotly (logical(1))

This logical value (default: FALSE) can be used to create an interactive plot based on the library plotly (see innsight\_plotly for details).

Note: Make sure that the suggested package plotly is installed in your R session.

# individual\_data\_idx (integer or NULL)

Only relevant for a plotly plot with tabular or 1D inputs! This integer vector of data indices determines the available data points in a dropdown menu, which are drawn individually analogous to ref\_data\_idx only for more data points. With the default value NULL, the first individual\_max data points are used.

*Note:* If ref\_data\_idx is specified, this data point will be added to those from individual\_data\_idx in the dropdown menu.

# individual\_max (integer(1))

Only relevant for a plotly plot with tabular or 1D inputs! This integer determines the maximum number of individual data points in the dropdown menu without counting ref\_data\_idx. This means that if individual\_data\_idx has more than individual\_max indices, only the first individual\_max will be used. A too high number can significantly increase the runtime.

*Returns:* Returns either an innsight\_ggplot2 (as\_plotly = FALSE) or an innsight\_plotly (as\_plotly = TRUE) object with the plotted summarized results.

**Method** print(): Print a summary of the method object. This summary contains the individual fields and in particular the results of the applied method.

Usage:

InterpretingMethod\$print()

*Returns:* Returns the method object invisibly via base::invisible.

Method clone(): The objects of this class are cloneable with this method.

Usage: InterpretingMethod\$clone(deep = FALSE)
Arguments:
deep Whether to make a deep clone.

LIME

Local interpretable model-agnostic explanations (LIME)

### Description

The R6 class LIME calculates the feature weights of a linear surrogate of the prediction model for a instance to be explained, namely the *local interpretable model-agnostic explanations (LIME)*. It is a model-agnostic method that can be applied to any predictive model. This means, in particular, that LIME can be applied not only to objects of the Converter class but also to any other model. The only requirement is the argument pred\_fun, which generates predictions with the model for given data. However, this function is pre-implemented for models created with nn\_sequential, keras\_model, neuralnet or Converter. Internally, the suggested package lime is utilized and applied to data.frame.

The R6 class can also be initialized using the run\_lime function as a helper function so that no prior knowledge of R6 classes is required.

**Note:** Even signal and image data are initially transformed into a data.frame using as.data.frame() and then lime::lime and lime::explain are applied. In other words, a custom pred\_fun may need to convert the data.frame back into an array as necessary.

# Super classes

innsight::InterpretingMethod -> innsight::AgnosticWrapper -> LIME

# Methods

**Public methods:** 

- LIME\$new()
- LIME\$clone()

**Method** new(): Create a new instance of the LIME R6 class. When initialized, the method *LIME* is applied to the given data and the results are stored in the field result.

```
Usage:
LIME$new(
  model,
  data,
  data_ref,
  output_type = NULL,
```

```
pred_fun = NULL,
output_idx = NULL,
output_label = NULL,
channels_first = TRUE,
input_dim = NULL,
input_names = NULL,
output_names = NULL,
...
```

```
)
```

Arguments: model (any prediction model)

A fitted model for a classification or regression task that is intended to be interpreted. A Converter object can also be passed. In order for the package to know how to make predictions with the given model, a prediction function must also be passed with the argument pred\_fun. However, for models created by nn\_sequential, keras\_model, neuralnet or Converter, these have already been pre-implemented and do not need to be specified.

data (array, data.frame or torch\_tensor)

The individual instances to be explained by the method. These must have the same format as the input data of the passed model and has to be either matrix, an array, a data.frame or a torch\_tensor. If no value is specified, all instances in the dataset data will be explained.

**Note:** For the model-agnostic methods, only models with a single input and output layer is allowed!

### data\_ref (array, data.frame or torch\_tensor)

The dataset to which the method is to be applied. These must have the same format as the input data of the passed model and has to be either matrix, an array, a data.frame or a torch\_tensor.

**Note:** For the model-agnostic methods, only models with a single input and output layer is allowed!

output\_type (character(1))

Type of the model output, i.e., either "classification" or "regression".

pred\_fun (function)

Prediction function for the model. This argument is only needed if model is not a model created by nn\_sequential, keras\_model, neuralnet or Converter. The first argument of pred\_fun has to be newdata, e.g.,

function(newdata, ...) model(newdata)

output\_idx (integer, list or NULL)

These indices specify the output nodes for which the method is to be applied. In order to allow models with multiple output layers, there are the following possibilities to select the indices of the output nodes in the individual output layers:

• An integer vector of indices: If the model has only one output layer, the values correspond to the indices of the output nodes, e.g., c(1,3,4) for the first, third and fourth output node. If there are multiple output layers, the indices of the output nodes from the first output layer are considered.

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- A list of integer vectors of indices: If the method is to be applied to output nodes from different layers, a list can be passed that specifies the desired indices of the output nodes for each output layer. Unwanted output layers have the entry NULL instead of a vector of indices, e.g., list(NULL, c(1,3)) for the first and third output node in the second output layer.
- NULL (default): The method is applied to all output nodes in the first output layer but is limited to the first ten as the calculations become more computationally expensive for more output nodes.

#### output\_label (character, factor, list or NULL)

These values specify the output nodes for which the method is to be applied. Only values that were previously passed with the argument output\_names in the converter can be used. In order to allow models with multiple output layers, there are the following possibilities to select the names of the output nodes in the individual output layers:

- A character vector or factor of labels: If the model has only one output layer, the values correspond to the labels of the output nodes named in the passed Converter object, e.g., c("a", "c", "d") for the first, third and fourth output node if the output names are c("a", "b", "c", "d"). If there are multiple output layers, the names of the output nodes from the first output layer are considered.
- A list of charactor/factor vectors of labels: If the method is to be applied to output nodes from different layers, a list can be passed that specifies the desired labels of the output nodes for each output layer. Unwanted output layers have the entry NULL instead of a vector of labels, e.g., list(NULL, c("a", "c")) for the first and third output node in the second output layer.
- NULL (default): The method is applied to all output nodes in the first output layer but is limited to the first ten as the calculations become more computationally expensive for more output nodes.

# channels\_first (logical(1))

The channel position of the given data (argument data). If TRUE, the channel axis is placed at the second position between the batch size and the rest of the input axes, e.g., c(10,3,32,32) for a batch of ten images with three channels and a height and width of 32 pixels. Otherwise (FALSE), the channel axis is at the last position, i.e., c(10,32,32,3). If the data has no channel axis, use the default value TRUE.

### input\_dim (integer)

The model input dimension excluding the batch dimension. It can be specified as vector of integers, but has to be in the format "channels first".

#### input\_names (character, factor or list)

The input names of the model excluding the batch dimension. For a model with a single input layer and input axis (e.g., for tabular data), the input names can be specified as a character vector or factor, e.g., for a dense layer with 3 input features use c("X1", "X2", "X3"). If the model input consists of multiple axes (e.g., for signal and image data), use a list of character vectors or factors for each axis in the format "channels first", e.g., use list(c("C1", "C2"), c("L1", "L2", "L3", "L4", "L5")) for a 1D convolutional input layer with signal length 4 and 2 channels.

Note: This argument is optional and otherwise the names are generated automatically. But

if this argument is set, all found input names in the passed model will be disregarded.

output\_names (character, factor )

A character vector with the names for the output dimensions excluding the batch dimension, e.g., for a model with 3 output nodes use c("Y1", "Y2", "Y3"). Instead of a character vector you can also use a factor to set an order for the plots.

*Note:* This argument is optional and otherwise the names are generated automatically. But if this argument is set, all found output names in the passed model will be disregarded.

... other arguments forwarded to lime::explain.

Method clone(): The objects of this class are cloneable with this method.

Usage:

LIME\$clone(deep = FALSE)

Arguments:

deep Whether to make a deep clone.

# See Also

Other methods: ConnectionWeights, DeepLift, DeepSHAP, ExpectedGradient, Gradient, IntegratedGradient, LRP, SHAP, SmoothGrad

# Examples

```
#----- Example 1: Torch -----
library(torch)
# Create nn_sequential model and data
model <- nn_sequential(</pre>
 nn_linear(5, 12),
 nn_relu(),
 nn_linear(12, 2),
 nn_softmax(dim = 2)
 )
data <- torch_randn(25, 5)</pre>
# Calculate LIME for the first 10 instances and set the
# feature and outcome names
lime <- LIME$new(model, data[1:10, ], data_ref = data,</pre>
                input_names = c("Car", "Cat", "Dog", "Plane", "Horse"),
                output_names = c("Buy it!", "Don't buy it!"))
# You can also use the helper function `run_lime` for initializing
# an R6 LIME object
lime <- run_lime(model, data[1:10, ], data_ref = data,</pre>
                input_names = c("Car", "Cat", "Dog", "Plane", "Horse"),
                output_names = c("Buy it!", "Don't buy it!"))
# Get the result as an array for the first two instances
get_result(lime)[1:2,, ]
```

```
# Plot the result for both classes
plot(lime, output_idx = c(1, 2))
# Show the boxplot over all 10 instances
boxplot(lime, output_idx = c(1, 2))
# We can also forward some arguments to lime::explain, e.g. n_permutatuins
# to get more accurate values
lime <- run_lime(model, data[1:10, ], data_ref = data,</pre>
                input_names = c("Car", "Cat", "Dog", "Plane", "Horse"),
                output_names = c("Buy it!", "Don't buy it!"),
                n_perturbations = 200)
# Plot the boxplots again
boxplot(lime, output_idx = c(1, 2))
#----- Example 2: Converter object ------
# We can do the same with an Converter object (all feature and outcome names
# will be extracted by the LIME method!)
conv <- convert(model,</pre>
               input_dim = c(5),
                input_names = c("Car", "Cat", "Dog", "Plane", "Horse"),
               output_names = c("Buy it!", "Don't buy it!"))
# Calculate LIME for the first 10 instances
lime <- run_lime(conv, data[1:10], data_ref = data, n_perturbations = 300)</pre>
# Plot the result for both classes
plot(lime, output_idx = c(1, 2))
#----- Example 3: Other model -----
if (require("neuralnet") & require("ranger")) {
 library(neuralnet)
 library(ranger)
 data(iris)
 # Fit a random forest unsing the ranger package
 model <- ranger(Species ~ ., data = iris, probability = TRUE)</pre>
 # There is no pre-implemented predict function for ranger models, i.e.,
 # we have to define it ourselves.
 pred_fun <- function(newdata, ...) {</pre>
   predict(model, newdata, ...)$predictions
 }
 # Calculate LIME for the instances of index 1 and 111 and add
 # the outcome labels (for LIME, the output_type is required!)
 lime <- run_lime(model, iris[c(1, 111), -5],</pre>
                  data_ref = iris[, -5],
                  pred_fun = pred_fun,
                  output_type = "classification",
                  output_names = levels(iris$Species),
```

```
n_perturbations = 300)
# Plot the result for the first two classes and all selected instances
plot(lime, data_idx = 1:2, output_idx = 1:2)
# Get the result as a torch_tensor
get_result(lime, "torch_tensor")
}
```

LRP

Layer-wise relevance propagation (LRP)

# Description

This is an implementation of the *layer-wise relevance propagation (LRP)* algorithm introduced by Bach et al. (2015). It's a local method for interpreting a single element of the dataset and calculates the relevance scores for each input feature to the model output. The basic idea of this method is to decompose the prediction score of the model with respect to the input features, i.e.,

$$f(x) = \sum_{i} R(x_i).$$

Because of the bias vector that absorbs some relevance, this decomposition is generally an approximation. There exist several propagation rules to determine the relevance scores. In this package are implemented: simple rule ("simple"),  $\varepsilon$ -rule ("epsilon") and  $\alpha$ - $\beta$ -rule ("alpha\_beta").

The R6 class can also be initialized using the run\_lrp function as a helper function so that no prior knowledge of R6 classes is required.

# Super class

innsight::InterpretingMethod -> LRP

## **Public fields**

rule\_name (character(1) or list)

The name of the rule with which the relevance scores are calculated. Implemented are "simple", "epsilon", "alpha\_beta" (and "pass" but only for 'BatchNorm\_Layer'). However, this value can also be a named list that assigns one of these three rules to each implemented layer type separately, e.g., list(Dense\_Layer = "simple", Conv2D\_Layer = "alpha\_beta"). Layers not specified in this list then use the default value "simple". The implemented layer types are:

· 'Dense_Layer'	· 'Conv1D_Layer'	· 'Conv2D_Layer'
· 'BatchNorm_Layer'	· 'AvgPool1D_Layer'	· 'AvgPool2D_Layer'
· 'MaxPool1D_Layer'	· 'MaxPool2D_Layer'	

rule\_param (numeric or list)

The parameter of the selected rule. Similar to the argument rule\_name, this can also be a named list that assigns a rule parameter to each layer type.

LRP

## Methods

**Public methods:** 

```
• LRP$new()
```

• LRP\$clone()

**Method** new(): Create a new instance of the LRP R6 class. When initialized, the method *LRP* is applied to the given data and the results are stored in the field result.

```
Usage:
LRP$new(
   converter,
   data,
   channels_first = TRUE,
   output_idx = NULL,
   output_label = NULL,
   ignore_last_act = TRUE,
   rule_name = "simple",
   rule_param = NULL,
   winner_takes_all = TRUE,
   verbose = interactive(),
   dtype = "float"
)
```

Arguments:

```
converter (Converter)
```

An instance of the Converter class that includes the torch-converted model and some other model-specific attributes. See Converter for details.

## data (array, data.frame, torch\_tensor or list)

The data to which the method is to be applied. These must have the same format as the input data of the passed model to the converter object. This means either

- an array, data.frame, torch\_tensor or array-like format of size (*batch\_size, dim\_in*), if e.g., the model has only one input layer, or
- a list with the corresponding input data (according to the upper point) for each of the input layers.

## channels\_first (logical(1))

The channel position of the given data (argument data). If TRUE, the channel axis is placed at the second position between the batch size and the rest of the input axes, e.g., c(10,3,32,32) for a batch of ten images with three channels and a height and width of 32 pixels. Otherwise (FALSE), the channel axis is at the last position, i.e., c(10,32,32,3). If the data has no channel axis, use the default value TRUE.

#### output\_idx (integer, list or NULL)

These indices specify the output nodes for which the method is to be applied. In order to allow models with multiple output layers, there are the following possibilities to select the indices of the output nodes in the individual output layers:

- An integer vector of indices: If the model has only one output layer, the values correspond to the indices of the output nodes, e.g., c(1,3,4) for the first, third and fourth output node. If there are multiple output layers, the indices of the output nodes from the first output layer are considered.
- A list of integer vectors of indices: If the method is to be applied to output nodes from different layers, a list can be passed that specifies the desired indices of the output nodes for each output layer. Unwanted output layers have the entry NULL instead of a vector of indices, e.g., list(NULL, c(1,3)) for the first and third output node in the second output layer.
- NULL (default): The method is applied to all output nodes in the first output layer but is limited to the first ten as the calculations become more computationally expensive for more output nodes.

#### output\_label (character, factor, list or NULL)

These values specify the output nodes for which the method is to be applied. Only values that were previously passed with the argument output\_names in the converter can be used. In order to allow models with multiple output layers, there are the following possibilities to select the names of the output nodes in the individual output layers:

- A character vector or factor of labels: If the model has only one output layer, the values correspond to the labels of the output nodes named in the passed Converter object, e.g., c("a", "c", "d") for the first, third and fourth output node if the output names are c("a", "b", "c", "d"). If there are multiple output layers, the names of the output nodes from the first output layer are considered.
- A list of charactor/factor vectors of labels: If the method is to be applied to output nodes from different layers, a list can be passed that specifies the desired labels of the output nodes for each output layer. Unwanted output layers have the entry NULL instead of a vector of labels, e.g., list(NULL, c("a", "c")) for the first and third output node in the second output layer.
- NULL (default): The method is applied to all output nodes in the first output layer but is limited to the first ten as the calculations become more computationally expensive for more output nodes.

ignore\_last\_act (logical(1))

Set this logical value to include the last activation functions for each output layer, or not (default: TRUE). In practice, the last activation (especially for softmax activation) is often omitted.

rule\_name (character(1) or list)

The name of the rule with which the relevance scores are calculated. Implemented are "simple", "epsilon", "alpha\_beta". You can pass one of the above characters to apply this rule to all possible layers. However, this value can also be a named list that assigns one of these three rules to each implemented layer type separately, e.g., list(Dense\_Layer = "simple", Conv2D\_Layer = "alpha\_beta"). Layers not specified in this list then use the default value "simple". The implemented layer types are:

· 'Dense\_Layer' · 'Conv1D\_Layer' · 'Conv2D\_Layer'

```
· 'BatchNorm_Layer' · 'AvgPool1D_Layer' · 'AvgPool2D_Layer'
· 'MaxPool1D_Layer' · 'MaxPool2D_Layer'
```

*Note:* For normalization layers like 'BatchNorm\_Layer', the rule "pass" is implemented as well, which ignores such layers in the backward pass.

#### rule\_param (numeric(1) or list)

The parameter of the selected rule. Note: Only the rules "epsilon" and "alpha\_beta" take use of the parameter. Use the default value NULL for the default parameters ("epsilon" : 0.01, "alpha\_beta" : 0.5). Similar to the argument rule\_name, this can also be a named list that assigns a rule parameter to each layer type. If the layer type is not specified in the named list, the default parameters will be used.

#### winner\_takes\_all (logical(1))

This logical argument is only relevant for models with a MaxPooling layer. Since many zeros are produced during the backward pass due to the selection of the maximum value in the pooling kernel, another variant is implemented, which treats a MaxPooling as an Average-Pooling layer in the backward pass to overcome the problem of too many zero relevances. With the default value TRUE, the whole upper-layer relevance is passed to the maximum value in each pooling window. Otherwise, if FALSE, the relevance is distributed equally among all nodes in a pooling window.

#### verbose (logical(1))

This logical argument determines whether a progress bar is displayed for the calculation of the method or not. The default value is the output of the primitive R function interactive().

#### dtype (character(1))

The data type for the calculations. Use either 'float' for torch\_float or 'double' for torch\_double.

Returns: A new instance of the R6 class LRP.

Method clone(): The objects of this class are cloneable with this method.

Usage:

LRP\$clone(deep = FALSE)

Arguments:

deep Whether to make a deep clone.

## References

S. Bach et al. (2015) On pixel-wise explanations for non-linear classifier decisions by layer-wise relevance propagation. PLoS ONE 10, p. 1-46

#### See Also

Other methods: ConnectionWeights, DeepLift, DeepSHAP, ExpectedGradient, Gradient, IntegratedGradient, LIME, SHAP, SmoothGrad

## Examples

```
#----- Example 1: Torch -----
library(torch)
# Create nn_sequential model and data
model <- nn_sequential(</pre>
 nn_linear(5, 12),
 nn_relu(),
 nn_linear(12, 2),
 nn_softmax(dim = 2)
)
data <- torch_randn(25, 5)</pre>
# Create Converter
converter <- convert(model, input_dim = c(5))</pre>
# Apply method LRP with simple rule (default)
lrp <- LRP$new(converter, data)</pre>
# You can also use the helper function `run_lrp` for initializing
# an R6 LRP object
lrp <- run_lrp(converter, data)</pre>
# Print the result as an array for data point one and two
get_result(lrp)[1:2,,]
# Plot the result for both classes
plot(lrp, output_idx = 1:2)
# Plot the boxplot of all datapoints without a preprocess function
boxplot(lrp, output_idx = 1:2, preprocess_FUN = identity)
# ------ Example 2: Neuralnet -----
if (require("neuralnet")) {
 library(neuralnet)
 data(iris)
 nn <- neuralnet(Species ~ .,</pre>
   iris,
   linear.output = FALSE,
   hidden = c(10, 8), act.fct = "tanh", rep = 1, threshold = 0.5
 )
 # Create an converter for this model
 converter <- convert(nn)</pre>
 # Create new instance of 'LRP'
 lrp <- run_lrp(converter, iris[, -5], rule_name = "simple")</pre>
 # Get the result as an array for data point one and two
 get_result(lrp)[1:2,,]
 # Get the result as a torch tensor for data point one and two
```

}

```
get_result(lrp, type = "torch.tensor")[1:2]
 # Use the alpha-beta rule with alpha = 2
 lrp <- run_lrp(converter, iris[, -5],</pre>
   rule_name = "alpha_beta",
   rule_param = 2
 )
 # Include the last activation into the calculation
 lrp <- run_lrp(converter, iris[, -5],</pre>
   rule_name = "alpha_beta",
   rule_param = 2,
   ignore_last_act = FALSE
 )
 # Plot the result for all classes
 plot(lrp, output_idx = 1:3)
# ----- Example 3: Keras ------
if (require("keras") & keras::is_keras_available()) {
 library(keras)
 # Make sure keras is installed properly
 is_keras_available()
 data <- array(rnorm(10 * 60 * 3), dim = c(10, 60, 3))
 model <- keras_model_sequential()</pre>
 model %>%
   layer_conv_1d(
     input_shape = c(60, 3), kernel_size = 8, filters = 8,
     activation = "softplus", padding = "valid") %>%
   layer_conv_1d(
     kernel_size = 8, filters = 4, activation = "tanh",
     padding = "same") %>%
   layer_conv_1d(
     kernel_size = 4, filters = 2, activation = "relu",
     padding = "valid") %>%
   layer_flatten() %>%
   layer_dense(units = 64, activation = "relu") %>%
   layer_dense(units = 16, activation = "relu") %>%
   layer_dense(units = 3, activation = "softmax")
 # Convert the model
 converter <- convert(model)</pre>
 # Apply the LRP method with the epsilon rule for the dense layers and
 # the alpha-beta rule for the convolutional layers
 lrp_comp <- run_lrp(converter, data,</pre>
   channels_first = FALSE,
   rule_name = list(Dense_Layer = "epsilon", Conv1D_Layer = "alpha_beta"),
```

```
rule_param = list(Dense_Layer = 0.1, Conv1D_Layer = 1)
 )
 # Plot the result for the first datapoint and all classes
 plot(lrp_comp, output_idx = 1:3)
 # Plot the result as boxplots for first two classes
 boxplot(lrp_comp, output_idx = 1:2)
}
#----- Plotly plots -----
if (require("plotly")) {
 # You can also create an interactive plot with plotly.
 # This is a suggested package, so make sure that it is installed
 library(plotly)
 # Result as boxplots
 boxplot(lrp, as_plotly = TRUE)
 # Result of the second data point
 plot(lrp, data_idx = 2, as_plotly = TRUE)
}
```

	plot_global	Get the result of an interpretation method
--	-------------	--

## Description

This is a generic S3 method for the R6 method InterpretingMethod\$plot\_global(). See the respective method described in InterpretingMethod for details.

## Usage

```
plot_global(x, ...)
```

## Arguments

x	An object of the class InterpretingMethod including the subclasses Gradient, SmoothGrad, LRP, DeepLift, DeepSHAP, IntegratedGradient, ExpectedGradient and ConnectionWeights.
	Other arguments specified in the R6 method InterpretingMethod\$plot_global(). See InterpretingMethod for details.

print,innsight\_ggplot2-method

*Generic print, plot and show for* innsight\_ggplot2

## Description

The class innsight\_ggplot2 provides the generic visualization functions print, plot and show, which all behave the same in this case. They create the plot of the results (see innsight\_ggplot2 for details) and return it invisibly.

#### Usage

```
## S4 method for signature 'innsight_ggplot2'
print(x, ...)
```

## S4 method for signature 'innsight\_ggplot2'
show(object)

## S4 method for signature 'innsight\_ggplot2'
plot(x, y, ...)

## Arguments

х	An instance of the S4 class innsight_ggplot2.
	Further arguments passed to the base function print if x@multiplot is FALSE. Otherwise, if x@multiplot is TRUE, the arguments are passed to gridExtra::arrangeGrob.
object	An instance of the S4 class innsight_ggplot2.
У	unused argument

### Value

For multiple plots (x@multiplot = TRUE), a gtable::gtable and otherwise a ggplot2::ggplot object is returned invisibly.

## See Also

```
innsight_ggplot2, +.innsight_ggplot2, [.innsight_ggplot2, [[.innsight_ggplot2, [<-.innsight_ggplot2,
[[<-.innsight_ggplot2</pre>
```

print,innsight\_plotly-method

#### Description

The class innsight\_plotly provides the generic visualization functions print, plot and show, which all behave the same in this case. They create a plot of the results using plotly::subplot (see innsight\_plotly for details) and return it invisibly.

#### Usage

```
## S4 method for signature 'innsight_plotly'
print(x, shareX = TRUE, ...)
## S4 method for signature 'innsight_plotly'
show(object)
## S4 method for signature 'innsight_plotly'
plot(x, y, ...)
```

#### Arguments

х	An instance of the S4 class innsight_plotly.
shareX	A logical value whether the x-axis should be shared among the subplots.
	Further arguments passed to plotly::subplot.
object	An instance of the S4 class innsight_plotly.
У	unused argument

SHAP

```
Shapley values
```

#### Description

The R6 class SHAP calculates the famous Shapley values based on game theory for an instance to be explained. It is a model-agnostic method that can be applied to any predictive model. This means, in particular, that SHAP can be applied not only to objects of the Converter class but also to any other model. The only requirement is the argument pred\_fun, which generates predictions with the model for given data. However, this function is pre-implemented for models created with nn\_sequential, keras\_model, neuralnet or Converter. Internally, the suggested package fastshap is utilized and applied to data.frame.

The R6 class can also be initialized using the run\_shap function as a helper function so that no prior knowledge of R6 classes is required.

**Note:** Even signal and image data are initially transformed into a data.frame using as.data.frame() and then fastshap::explain is applied. In other words, a custom pred\_fun may need to convert the data.frame back into an array as necessary.

## SHAP

## Super classes

innsight::InterpretingMethod -> innsight::AgnosticWrapper -> SHAP

#### Methods

**Public methods:** 

- SHAP\$new()
- SHAP\$clone()

**Method** new(): Create a new instance of the SHAP R6 class. When initialized, the method *SHAP* is applied to the given data and the results are stored in the field result.

## Usage: SHAP\$new( model, data, data\_ref, pred\_fun = NULL, output\_idx = NULL, output\_label = NULL, channels\_first = TRUE, input\_dim = NULL, input\_names = NULL, output\_names = NULL, ....)

#### Arguments:

model (any prediction model)

A fitted model for a classification or regression task that is intended to be interpreted. A Converter object can also be passed. In order for the package to know how to make predictions with the given model, a prediction function must also be passed with the argument pred\_fun. However, for models created by nn\_sequential, keras\_model, neuralnet or Converter, these have already been pre-implemented and do not need to be specified.

data (array, data.frame or torch\_tensor)

The individual instances to be explained by the method. These must have the same format as the input data of the passed model and has to be either matrix, an array, a data.frame or a torch\_tensor. If no value is specified, all instances in the dataset data will be explained.

**Note:** For the model-agnostic methods, only models with a single input and output layer is allowed!

data\_ref (array, data.frame or torch\_tensor)

The dataset to which the method is to be applied. These must have the same format as the input data of the passed model and has to be either matrix, an array, a data.frame or a torch\_tensor.

Note: For the model-agnostic methods, only models with a single input and output layer is

#### allowed!

pred\_fun (function)

Prediction function for the model. This argument is only needed if model is not a model created by nn\_sequential, keras\_model, neuralnet or Converter. The first argument of pred\_fun has to be newdata, e.g.,

function(newdata, ...) model(newdata)

#### output\_idx (integer, list or NULL)

These indices specify the output nodes for which the method is to be applied. In order to allow models with multiple output layers, there are the following possibilities to select the indices of the output nodes in the individual output layers:

- An integer vector of indices: If the model has only one output layer, the values correspond to the indices of the output nodes, e.g., c(1,3,4) for the first, third and fourth output node. If there are multiple output layers, the indices of the output nodes from the first output layer are considered.
- A list of integer vectors of indices: If the method is to be applied to output nodes from different layers, a list can be passed that specifies the desired indices of the output nodes for each output layer. Unwanted output layers have the entry NULL instead of a vector of indices, e.g., list(NULL, c(1,3)) for the first and third output node in the second output layer.
- NULL (default): The method is applied to all output nodes in the first output layer but is limited to the first ten as the calculations become more computationally expensive for more output nodes.

## output\_label (character, factor, list or NULL)

These values specify the output nodes for which the method is to be applied. Only values that were previously passed with the argument output\_names in the converter can be used. In order to allow models with multiple output layers, there are the following possibilities to select the names of the output nodes in the individual output layers:

- A character vector or factor of labels: If the model has only one output layer, the values correspond to the labels of the output nodes named in the passed Converter object, e.g., c("a", "c", "d") for the first, third and fourth output node if the output names are c("a", "b", "c", "d"). If there are multiple output layers, the names of the output nodes from the first output layer are considered.
- A list of charactor/factor vectors of labels: If the method is to be applied to output nodes from different layers, a list can be passed that specifies the desired labels of the output nodes for each output layer. Unwanted output layers have the entry NULL instead of a vector of labels, e.g., list(NULL, c("a", "c")) for the first and third output node in the second output layer.
- NULL (default): The method is applied to all output nodes in the first output layer but is limited to the first ten as the calculations become more computationally expensive for more output nodes.

#### channels\_first (logical(1))

The channel position of the given data (argument data). If TRUE, the channel axis is placed at the second position between the batch size and the rest of the input axes, e.g., c(10, 3, 32, 32) for a batch of ten images with three channels and a height and width of 32

pixels. Otherwise (FALSE), the channel axis is at the last position, i.e., c(10, 32, 32, 3). If the data has no channel axis, use the default value TRUE.

input\_dim (integer)

The model input dimension excluding the batch dimension. It can be specified as vector of integers, but has to be in the format "channels first".

input\_names (character, factor or list)

The input names of the model excluding the batch dimension. For a model with a single input layer and input axis (e.g., for tabular data), the input names can be specified as a character vector or factor, e.g., for a dense layer with 3 input features use c("X1", "X2", "X3"). If the model input consists of multiple axes (e.g., for signal and image data), use a list of character vectors or factors for each axis in the format "channels first", e.g., use list(c("C1", "C2"), c("L1", "L2", "L3", "L4", "L5")) for a 1D convolutional input layer with signal length 4 and 2 channels.

*Note:* This argument is optional and otherwise the names are generated automatically. But if this argument is set, all found input names in the passed model will be disregarded.

## output\_names (character, factor )

A character vector with the names for the output dimensions excluding the batch dimension, e.g., for a model with 3 output nodes use c("Y1", "Y2", "Y3"). Instead of a character vector you can also use a factor to set an order for the plots.

*Note:* This argument is optional and otherwise the names are generated automatically. But if this argument is set, all found output names in the passed model will be disregarded.

... other arguments forwarded to fastshap::explain.

Method clone(): The objects of this class are cloneable with this method.

Usage:

SHAP\$clone(deep = FALSE)

Arguments:

deep Whether to make a deep clone.

## See Also

Other methods: ConnectionWeights, DeepLift, DeepSHAP, ExpectedGradient, Gradient, IntegratedGradient, LIME, LRP, SmoothGrad

#### Examples

```
#----- Example 1: Torch ------
library(torch)
# Create nn_sequential model and data
model <- nn_sequential(
    nn_linear(5, 12),
    nn_relu(),
    nn_linear(12, 2),</pre>
```

```
nn_softmax(dim = 2)
 )
data <- torch_randn(25, 5)</pre>
# Calculate Shapley values for the first 10 instances and set the
# feature and outcome names
shap <- SHAP$new(model, data[1:10, ], data_ref = data,</pre>
                input_names = c("Car", "Cat", "Dog", "Plane", "Horse"),
                output_names = c("Buy it!", "Don't buy it!"))
# You can also use the helper function `run_shap` for initializing
# an R6 SHAP object
shap <- run_shap(model, data[1:10, ], data_ref = data,</pre>
                input_names = c("Car", "Cat", "Dog", "Plane", "Horse"),
                output_names = c("Buy it!", "Don't buy it!"))
# Get the result as an array for the first two instances
get_result(shap)[1:2,, ]
# Plot the result for both classes
plot(shap, output_idx = c(1, 2))
# Show the boxplot over all 10 instances
boxplot(shap, output_idx = c(1, 2))
# We can also forward some arguments to fastshap::explain, e.g. nsim to
# get more accurate values
shap <- run_shap(model, data[1:10, ], data_ref = data,</pre>
                input_names = c("Car", "Cat", "Dog", "Plane", "Horse"),
                output_names = c("Buy it!", "Don't buy it!"),
                nsim = 10)
# Plot the boxplots again
boxplot(shap, output_idx = c(1, 2))
#----- Example 2: Converter object ------
# We can do the same with an Converter object (all feature and outcome names
# will be extracted by the SHAP method!)
conv <- convert(model,</pre>
               input_dim = c(5),
               input_names = c("Car", "Cat", "Dog", "Plane", "Horse"),
               output_names = c("Buy it!", "Don't buy it!"))
# Calculate Shapley values for the first 10 instances
shap <- run_shap(conv, data[1:10], data_ref = data)</pre>
# Plot the result for both classes
plot(shap, output_idx = c(1, 2))
#----- Example 3: Other model -----
if (require("neuralnet") & require("ranger")) {
 library(neuralnet)
 library(ranger)
```

## SmoothGrad

```
data(iris)
# Fit a random forest unsing the ranger package
model <- ranger(Species ~ ., data = iris, probability = TRUE)</pre>
# There is no pre-implemented predict function for ranger models, i.e.,
# we have to define it ourselves.
pred_fun <- function(newdata, ...) {</pre>
 predict(model, newdata, ...)$predictions
}
# Calculate Shapley values for the instances of index 1 and 111 and add
# the outcome labels
shap <- run_shap(model, iris[c(1, 111), -5], data_ref = iris[, -5],</pre>
                 pred_fun = pred_fun,
                 output_names = levels(iris$Species),
                 nsim = 10)
# Plot the result for the first two classes and all selected instances
plot(shap, data_idx = 1:2, output_idx = 1:2)
# Get the result as a torch_tensor
get_result(shap, "torch_tensor")
```

SmoothGr	ad
----------	----

#### SmoothGrad and SmoothGrad×Input

#### Description

}

SmoothGrad was introduced by D. Smilkov et al. (2017) and is an extension to the classical Vanilla Gradient method. It takes the mean of the gradients for n perturbations of each data point, i.e., with  $\epsilon \sim N(0, \sigma)$ 

$$1/n\sum_{n}df(x+\epsilon)_j/dx_j.$$

Analogous to the *Gradient*×*Input* method, you can also use the argument times\_input to multiply the gradients by the inputs before taking the average (*SmoothGrad*×*Input*).

The R6 class can also be initialized using the run\_smoothgrad function as a helper function so that no prior knowledge of R6 classes is required.

#### Super classes

innsight::InterpretingMethod -> innsight::GradientBased -> SmoothGrad

## **Public fields**

```
n (integer(1))
Number of perturbations of the input data (default: 50).
```

```
noise_level (numeric(1))
```

The standard deviation of the Gaussian perturbation, i.e.,  $\sigma = (max(x) - min(x)) * \text{noise_level}$ .

## Methods

### **Public methods:**

- SmoothGrad\$new()
- SmoothGrad\$clone()

**Method** new(): Create a new instance of the SmoothGrad R6 class. When initialized, the method *SmoothGrad* or *SmoothGrad*×*Input* is applied to the given data and the results are stored in the field result.

Usage:

```
SmoothGrad$new(
   converter,
   data,
   channels_first = TRUE,
   output_idx = NULL,
   output_label = NULL,
   ignore_last_act = TRUE,
   times_input = FALSE,
   n = 50,
   noise_level = 0.1,
   verbose = interactive(),
   dtype = "float"
)
```

Arguments:

converter (Converter)

An instance of the Converter class that includes the torch-converted model and some other model-specific attributes. See Converter for details.

#### data (array, data.frame, torch\_tensor or list)

The data to which the method is to be applied. These must have the same format as the input data of the passed model to the converter object. This means either

- an array, data.frame, torch\_tensor or array-like format of size (*batch\_size, dim\_in*), if e.g., the model has only one input layer, or
- a list with the corresponding input data (according to the upper point) for each of the input layers.

### channels\_first (logical(1))

The channel position of the given data (argument data). If TRUE, the channel axis is

placed at the second position between the batch size and the rest of the input axes, e.g., c(10,3,32,32) for a batch of ten images with three channels and a height and width of 32 pixels. Otherwise (FALSE), the channel axis is at the last position, i.e., c(10,32,32,3). If the data has no channel axis, use the default value TRUE.

output\_idx (integer, list or NULL)

These indices specify the output nodes for which the method is to be applied. In order to allow models with multiple output layers, there are the following possibilities to select the indices of the output nodes in the individual output layers:

- An integer vector of indices: If the model has only one output layer, the values correspond to the indices of the output nodes, e.g., c(1,3,4) for the first, third and fourth output node. If there are multiple output layers, the indices of the output nodes from the first output layer are considered.
- A list of integer vectors of indices: If the method is to be applied to output nodes from different layers, a list can be passed that specifies the desired indices of the output nodes for each output layer. Unwanted output layers have the entry NULL instead of a vector of indices, e.g., list(NULL, c(1,3)) for the first and third output node in the second output layer.
- NULL (default): The method is applied to all output nodes in the first output layer but is limited to the first ten as the calculations become more computationally expensive for more output nodes.

#### output\_label (character, factor, list or NULL)

These values specify the output nodes for which the method is to be applied. Only values that were previously passed with the argument output\_names in the converter can be used. In order to allow models with multiple output layers, there are the following possibilities to select the names of the output nodes in the individual output layers:

- A character vector or factor of labels: If the model has only one output layer, the values correspond to the labels of the output nodes named in the passed Converter object, e.g., c("a", "c", "d") for the first, third and fourth output node if the output names are c("a", "b", "c", "d"). If there are multiple output layers, the names of the output nodes from the first output layer are considered.
- A list of charactor/factor vectors of labels: If the method is to be applied to output nodes from different layers, a list can be passed that specifies the desired labels of the output nodes for each output layer. Unwanted output layers have the entry NULL instead of a vector of labels, e.g., list(NULL, c("a", "c")) for the first and third output node in the second output layer.
- NULL (default): The method is applied to all output nodes in the first output layer but is limited to the first ten as the calculations become more computationally expensive for more output nodes.

ignore\_last\_act (logical(1))

Set this logical value to include the last activation functions for each output layer, or not (default: TRUE). In practice, the last activation (especially for softmax activation) is often omitted.

times\_input (logical(1)

Multiplies the gradients with the input features. This method is called *SmoothGrad*×*Input*.

```
n (integer(1))
```

Number of perturbations of the input data (default: 50).

```
noise_level (numeric(1))
```

Determines the standard deviation of the Gaussian perturbation, i.e.,  $\sigma = (max(x) - min(x))*$  noise\_level.

```
verbose (logical(1))
```

This logical argument determines whether a progress bar is displayed for the calculation of the method or not. The default value is the output of the primitive R function interactive().

```
dtype (character(1))
```

The data type for the calculations. Use either 'float' for torch\_float or 'double' for torch\_double.

Method clone(): The objects of this class are cloneable with this method.

Usage: SmoothGrad\$clone(deep = FALSE)

Arguments:

deep Whether to make a deep clone.

#### References

D. Smilkov et al. (2017) SmoothGrad: removing noise by adding noise. CoRR, abs/1706.03825

## See Also

Other methods: ConnectionWeights, DeepLift, DeepSHAP, ExpectedGradient, Gradient, IntegratedGradient, LIME, LRP, SHAP

## Examples

```
# ----- Example 1: Torch ------
library(torch)
# Create nn_sequential model and data
model <- nn_sequential(
    nn_linear(5, 10),
    nn_relu(),
    nn_linear(10, 2),
    nn_sigmoid()
)
data <- torch_randn(25, 5)
# Create Converter
converter <- convert(model, input_dim = c(5))</pre>
```

## SmoothGrad

```
# Calculate the smoothed Gradients
smoothgrad <- SmoothGrad$new(converter, data)</pre>
# You can also use the helper function `run_smoothgrad` for initializing
# an R6 SmoothGrad object
smoothgrad <- run_smoothgrad(converter, data)</pre>
# Print the result as a data.frame for first 5 rows
head(get_result(smoothgrad, "data.frame"), 5)
# Plot the result for both classes
plot(smoothgrad, output_idx = 1:2)
# Plot the boxplot of all datapoints
boxplot(smoothgrad, output_idx = 1:2)
# ------ Example 2: Neuralnet -----
if (require("neuralnet")) {
 library(neuralnet)
 data(iris)
 # Train a neural network
 nn <- neuralnet(Species ~ ., iris,</pre>
   linear.output = FALSE,
   hidden = c(10, 5),
   act.fct = "logistic",
   rep = 1
 )
 # Convert the trained model
 converter <- convert(nn)</pre>
 # Calculate the smoothed gradients
 smoothgrad <- run_smoothgrad(converter, iris[, -5], times_input = FALSE)</pre>
 # Plot the result for the first and 60th data point and all classes
 plot(smoothgrad, data_idx = c(1, 60), output_idx = 1:3)
 # Calculate SmoothGrad x Input and do not ignore the last activation
 smoothgrad <- run_smoothgrad(converter, iris[, -5], ignore_last_act = FALSE)</pre>
 # Plot the result again
 plot(smoothgrad, data_idx = c(1, 60), output_idx = 1:3)
}
# ----- Example 3: Keras -----
if (require("keras") & keras::is_keras_available()) {
 library(keras)
 # Make sure keras is installed properly
 is_keras_available()
```

```
data <- array(rnorm(64 * 60 * 3), dim = c(64, 60, 3))
 model <- keras_model_sequential()</pre>
 model %>%
   layer_conv_1d(
     input_shape = c(60, 3), kernel_size = 8, filters = 8,
     activation = "softplus", padding = "valid") %>%
   layer_conv_1d(
     kernel_size = 8, filters = 4, activation = "tanh",
     padding = "same") %>%
   layer_conv_1d(
     kernel_size = 4, filters = 2, activation = "relu",
     padding = "valid") %>%
   layer_flatten() %>%
   layer_dense(units = 64, activation = "relu") %>%
   layer_dense(units = 16, activation = "relu") %>%
   layer_dense(units = 3, activation = "softmax")
 # Convert the model
 converter <- convert(model)</pre>
 # Apply the SmoothGrad method
 smoothgrad <- run_smoothgrad(converter, data, channels_first = FALSE)</pre>
 # Plot the result for the first datapoint and all classes
 plot(smoothgrad, output_idx = 1:3)
 # Plot the result as boxplots for first two classes
 boxplot(smoothgrad, output_idx = 1:2)
}
#-----Plotly plots ------
if (require("plotly")) {
 # You can also create an interactive plot with plotly.
 # This is a suggested package, so make sure that it is installed
 library(plotly)
 # Result as boxplots
 boxplot(smoothgrad, as_plotly = TRUE)
 # Result of the second data point
 plot(smoothgrad, data_idx = 2, as_plotly = TRUE)
}
```

## [,innsight\_ggplot2-method

Indexing plots of innsight\_ggplot2

## Description

The S4 class innsight\_ggplot2 visualizes the results in the form of a matrix, with the output nodes (and also the input layers) in the columns and the selected data points in the rows. With these basic generic indexing functions, the plots of individual rows and columns can be accessed, modified and the overall plot can be adjusted accordingly.

## Usage

```
## S4 method for signature 'innsight_ggplot2'
x[i, j, ..., restyle = TRUE, drop = TRUE]
## S4 method for signature 'innsight_ggplot2'
x[[i, j, ..., restyle = TRUE]]
## S4 replacement method for signature 'innsight_ggplot2'
x[i, j, ...] <- value
## S4 replacement method for signature 'innsight_ggplot2'
x[[i, j, ...]] <- value</pre>
```

#### Arguments

x	An instance of the S4 class innsight_ggplot2.	
i	The numeric (or missing) index for the rows.	
j	The numeric (or missing) index for the columns.	
	other unused arguments	
restyle	This logical value determines whether the labels and facet stripes remain as they were in the original plot or are adjusted to the subplot accordingly. However, this argument is only used if the innsight_ggplot2 instance is a multiplot, i.e., x@multiplot is TRUE.	
drop	unused argument	
value	Another instance of the S4 class innsight_ggplot2 but of shape i x j.	

## Value

- [.innsight\_ggplot2: Selects only the plots from the i-th rows and j-th columns and returns them as a new instance of innsight\_ggplot2. If restyle = TRUE the facet stripes and axis labels of the original plot are transferred to the subplot, otherwise they are returned as they are.
- [[.innsight\_ggplot2: Selects only the subplot in row i and column j and returns it as a ggplot2::ggplot object. If restyle = TRUE the facet stripes and axis labels of the original plot are transferred to the subplot, otherwise they are returned as they are.
- [<-.innsight\_ggplot2: Replaces the plots in the rows i and columns j with those from value and returns the modified instance of innsight\_ggplot2.
- [[<-.innsight\_ggplot2: Replaces the plot from the i-th row and j-th column with the plot from value and returns the modified instance of innsight\_ggplot2.

## See Also

innsight\_ggplot2, print.innsight\_ggplot2, +.innsight\_ggplot2

[,innsight\_plotly-method

Indexing plots of innsight\_plotly

## Description

The S4 class innsight\_plotly visualizes the results as a matrix of plots based on plotly::plot\_ly. The output nodes (and also input layers) are displayed in the columns and the selected data points in the rows. With these basic generic indexing functions, the plots of individual rows and columns can be accessed.

#### Usage

```
## S4 method for signature 'innsight_plotly'
x[i, j, ..., drop = TRUE]
```

## S4 method for signature 'innsight\_plotly'
x[[i, j, ..., drop]]

## Arguments

х	An instance of the S4 class innsight_plotly.
i	The numeric (or missing) index for the rows.
j	The numeric (or missing) index for the columns.
	other unused arguments
drop	unused argument

## Value

- [.innsight\_plotly: Selects the plots from the i-th rows and j-th columns and returns them as a new instance of innsight\_plotly.
- [[.innisght\_plotly: Selects only the single plot in the i-th row and j-th column and returns it as a plotly object.

## See Also

innsight\_plotly, print.innsight\_plotly, plot.innsight\_plotly, show.innsight\_plotly

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