# Package: hindex (via r-universe)

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Title Simulating the Development of h-Index Values
Version 0.2.0
<b>Description</b> H-index and h-alpha are a bibliometric indicators. This package provides functions to simulate how these indicators may develop over time for a given set of researchers and to visualize the simulation data. The implementation is based on the 'STATA' ado h-index and is described in more detail in Bornmann et al. (2019) <arxiv:1905.11052>.</arxiv:1905.11052>
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Contents
plot_hsim
Index 7

plot\_hsim

plot\_hsim

Plot the result of simulate\_hindex

## **Description**

Plot the result of a simulation computed by simulate hindex.

## Usage

```
plot_hsim(
    simdata,
    plot_hindex = FALSE,
    plot_halpha = FALSE,
    plot_toppapers = FALSE,
    plot_mindex = FALSE,
    subgroups = FALSE,
    group_boundaries = NULL,
    exclude_group_boundaries = FALSE,
    plot_group_diffs = FALSE
)
```

#### **Arguments**

simdata The result of a simulation returned by simulate\_hindex.

plot\_hindex If this parameter is set to TRUE, the h-index values are plotted.

plot\_halpha If this parameter is set to TRUE, the h-alpha values are plotted.

plot\_toppapers If this parameter is set to TRUE, the numbers of top-10% papers are plotted.

plot\_mindex If this parameter is set to TRUE, the mindex values are plotted.

subgroups If this parameter is set to TRUE, the subgroups in simdata are considered for

grouping plotting the index values separately for each of these groups.

group\_boundaries

Alternative to subgroups for specifying groups of scientists for plotting the index values separately for these groups. Here, the groups are specified based on the initial h-index of the agents. group\_boundaries must be a list of vectors or a vector of integers specifying the groups. If a list is specified, each element must be a vector of length 2 representing the lower and the upper bound for the initial h-index (if the boundaries are included in the corresponding intervals is specified by the exclude\_group\_boundaries parameter). If a vector of integers is specified, each element in group\_boundaries separates two groups such that all agents with an initial h-index below this boundary (and equal to or above any lower boundary; if exclude\_group\_boundaries is set to TRUE, the initial h-index has to be above any lower boundary) are in the first group, and all agents with an initial h-index equal to or above this boundary (and below any higher boundary) are in the second group.

```
exclude_group_boundaries
```

If this parameter is set to TRUE, the scientists are grouped such that those scientists whose initial h-index is equal to a boundary are not included.

```
plot_group_diffs
```

If this parameter is specified, the difference between the groups that are specified by group\_boundaries is plotted.

#### Value

```
A ggplot object (ggplot).
```

#### **Examples**

```
set.seed(123)
simdata <- simulate_hindex(runs = 2, n = 20, periods = 3)
plot_hsim(simdata, plot_hindex = TRUE, plot_halpha = TRUE)</pre>
```

simulate\_hindex

Simulate h-index and h-alpha values

## Description

Simulate the effect of publishing, being cited, and (strategic) collaborating on the development of h-index and h-alpha values for a specified set of agents.

### Usage

```
simulate_hindex(
  runs = 1,
  n = 100,
  periods = 20,
  subgroups_distr = 1,
  subgroup_advantage = 1,
  subgroup_exchange = 0,
  init_type = "fixage",
  distr_initial_papers = "poisson",
 max\_age\_scientists = 5,
  dpapers_pois_lambda = 2,
  dpapers_nbinom_dispersion = 1.1,
  dpapers_nbinom_mean = 2,
  productivity = 80,
  distr_citations = "poisson",
  dcitations\_speed = 2,
  dcitations_peak = 3,
  dcitations_mean = 2,
  dcitations_dispersion = 1.1,
  coauthors = 5,
  strategic_teams = FALSE,
```

```
diligence_share = 1,
  diligence_corr = 0,
  selfcitations = FALSE,
  update_alpha_authors = FALSE,
  boost = FALSE,
  boost_size = 0.1,
  alpha_share = 0.33
)
```

#### **Arguments**

runs Number of times the simulation is repeated.

n Number of agents acting in each simulation.

periods Number of periods the agents collaborate across in each period.

subgroups\_distr

Share of scientists in the first subgroup among all scientists

subgroup\_advantage

Factor by which citations of papers published by agents of subgroup 2 exceed those of papers published by subgroup 1. This option is intended to reflect subdisciplines with different citation levels.

subgroup\_exchange

Share of agents publishing (alone or in collaboration) with the other subgroup in each period. For example, when specifying subgroup\_exchange = .1, 10% of each subgroup join the other subgroup each period.

init\_type

Type of the initial setup. May be 'fixage' or 'varage'. For init\_type = 'fixage', all initial papers have the same age (specified by max\_age\_scientists). For init\_type = 'varage', papers get a random age which is less than or equal to max\_age\_scientists.

distr\_initial\_papers

Distribution of the papers the scientists have already published at the start of the simulation. Currently, the poisson distribution ("poisson") and the negative binomial distribution ("nbinomial") are supported.

max\_age\_scientists

Maximum age of scientists at the start of the simulation. For init\_type = varage, a random age less than or equal to max\_age\_scientists is assigned to the initial papers. For init\_type = fixage, all papers are max\_age\_scientists old.

dpapers\_pois\_lambda

The distribution parameter for a poisson distribution of initial papers.

dpapers\_nbinom\_dispersion

Dispersion parameter of a negative binomial distribution of initial papers.

dpapers\_nbinom\_mean

Expected value of a negative binomial distribution of initial papers.

productivity

The share of papers published by the 20% most productive agents in percentage. This parameter is only used for init\_type = 'varage'. For init\_type = 'fixage', diligence\_share and diligence\_corr can be used to control the productivity of scientists.

distr\_citations

Distribution of citations the papers get. The expected value of this distribution follows a log-logistic function of time. Currently, the poisson distribution ("poisson") and the negative binomial distribution ("nbinomial") are supported.

dcitations\_speed

The steepness (shape parameter) of the log-logistic time function of the expected citation values.

dcitations\_peak

The period after publishing when the expected value of the citation distribution reaches its maximum.

dcitations mean

The maximum expected value of the citation distribution (at period dcitations\_peak after publishing, the citation distribution has dcitations\_mean).

dcitations\_dispersion

For a negative binomial citation distribution, dcitations\_dispersion is a factor by which the variance exceeds the expected value.

coauthors strategic\_teams

Average number of coauthors publishing papers.

If this parameter is set to TRUE, agents with high h-index avoid co-authorships with agents who have equal or higher h-index values (they strategically select co-authors to improve their h-alpha index). This is implemented by assigning the agents with the highest h-index values to separate teams and randomly assigning the other agents to the teams. Otherwise, the collaborating agents are assigned to co-authorships at random.

diligence\_share

The share of agents publishing in each period. Only used for init\_type = 'fixage'.

diligence\_corr The correlation between the initial h-index value and the probability to publish in a given period. This parameter only has an effect if diligence\_share < 1. Only used for init\_type = 'fixage'.

selfcitations

If this parameter is set to TRUE, a paper gets one additional citation if at least one of its authors has a h-index value that exceeds the number of previous citations of the paper by one or two. This reflects agents strategically citing their own papers with citations just below their h-index to accelerate the growth of their h-index.

update\_alpha\_authors

If this parameter is set to TRUE, the alpha author of newly written papers is determined every period based on the current h-index values of its authors. Without this option, the alpha author is determined when the paper is written and held constant from then on.

boost If this parameter is set to TRUE, papers of agents with a higher h-index are cited more frequently than papers of agents with lower h-index. For each team, this

effect is based on the team's co-author with the highest h-index within this team.

Magnitude of the boost effect. For every additional h point of a paper's co-author boost\_size who has the highest h-index among all of the paper's co-authors, citations of the

paper are increased by boost size, rounded to the next integer.

alpha\_share The share of previously published papers where the corresponding agent is alpha

author.

## Value

For each run, the h-index values and the h-alpha values for each period are stored in a list of lists.

## Examples

```
set.seed(123)
simdata <- simulate_hindex(runs = 2, n = 20, periods = 3)
plot_hsim(simdata, plot_hindex = TRUE)</pre>
```

## **Index**

```
ggplot, 3
plot_hsim, 2
simulate_hindex, 2, 3
```